

Integrated photonic systems for applications in telecommunications and biosensing

Andrew Kirk

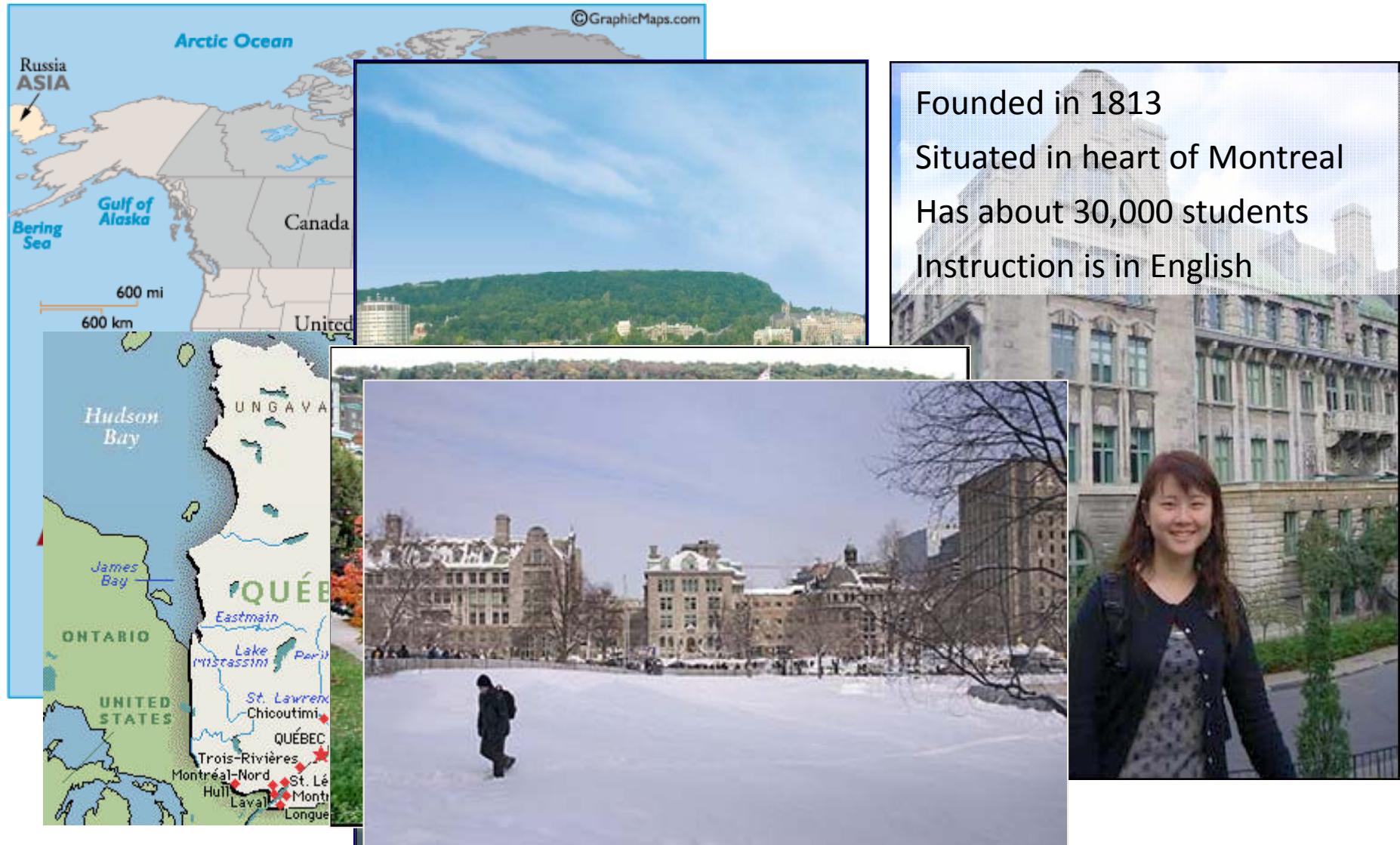
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Integrated Nanophotonics Research Group

May 2010



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Integrated Nanophotonics Research Group

Current projects

Planar waveguide devices

- Etched grating demultiplexer
- Photonic crystal superprism
- Photonic crystal wavelength conversion
- Hybrid laser integration
- Fabry-Perot comb filter switch

Biosensors

- Integrated SPR
- Grating-enhanced SPR
- Spectro-angular SPR
- Plasmonic polymer
- Cavity ring down resonant sensing
- Nano-crystalline cellulose

Integrated Nanophotonics Research Group

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Planar waveguide devices

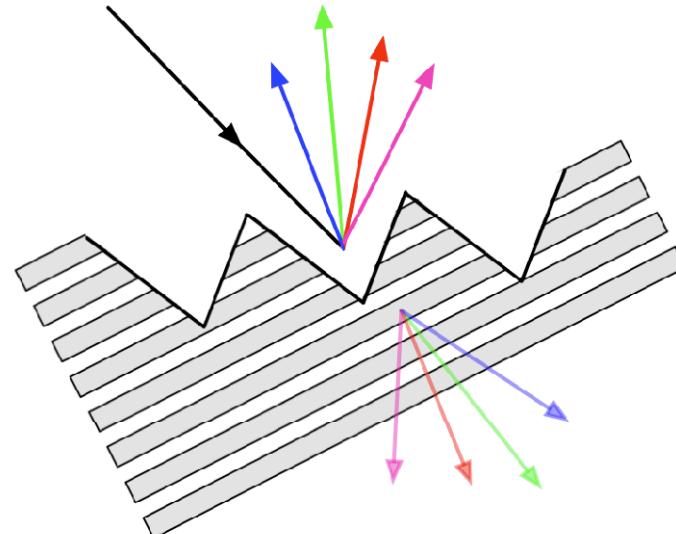
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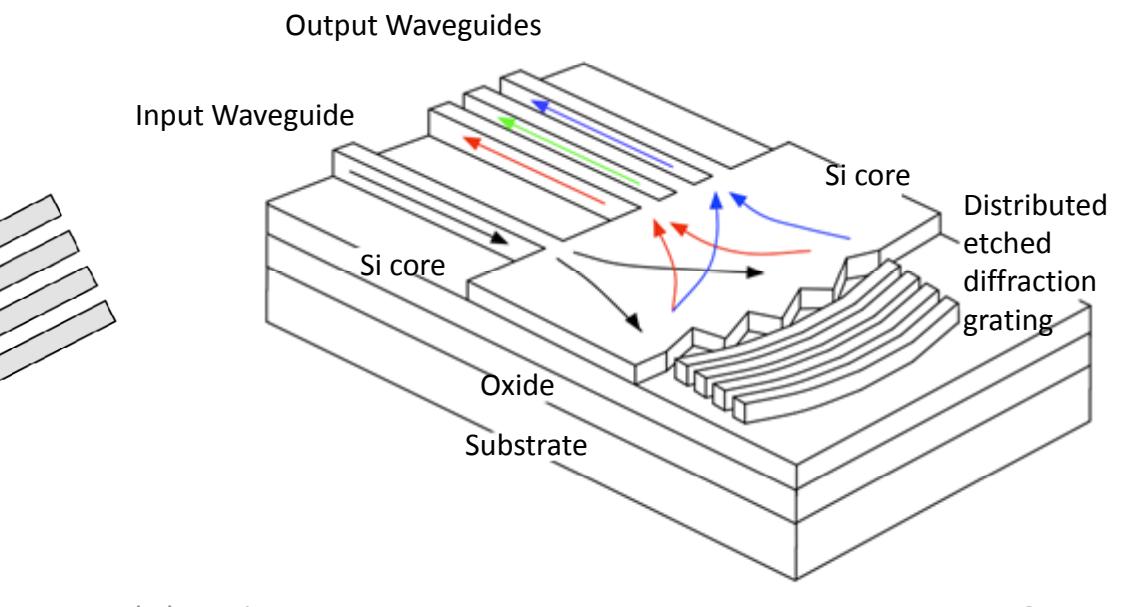
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Distributed Etched Diffraction Grating (DEDG)

- Deeply etched sidewalls replaced by distributed reflector
 - E. Bisaillion and A.Kirk, IEEE-LEOS Annual meeting 2006
- Single shallow etch depth simplifies fabrication
 - J . Brouckaert et. al. IEEE PTL Vol. 2, No. 4, 2008
- *Dispersive and reflective properties tailored individually*
 - *This work*



Andrew Kirk, June 2010



Integrated photonic systems

Distributed Etched Diffraction Grating

Reflective properties

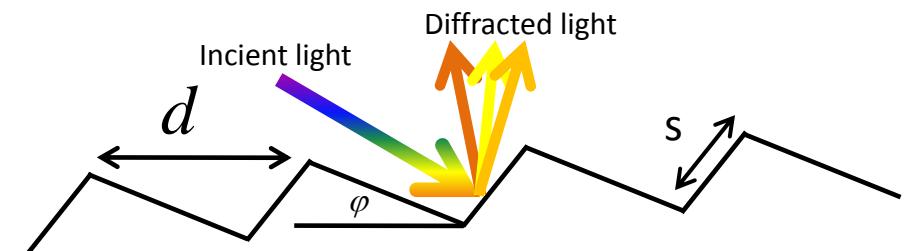
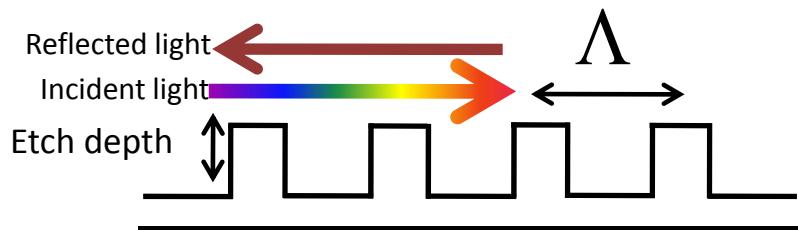
Reflectivity and bandwidth determined by

- Etch depth (index contrast)
- Bragg order (periodicity Λ)
- Number of periods

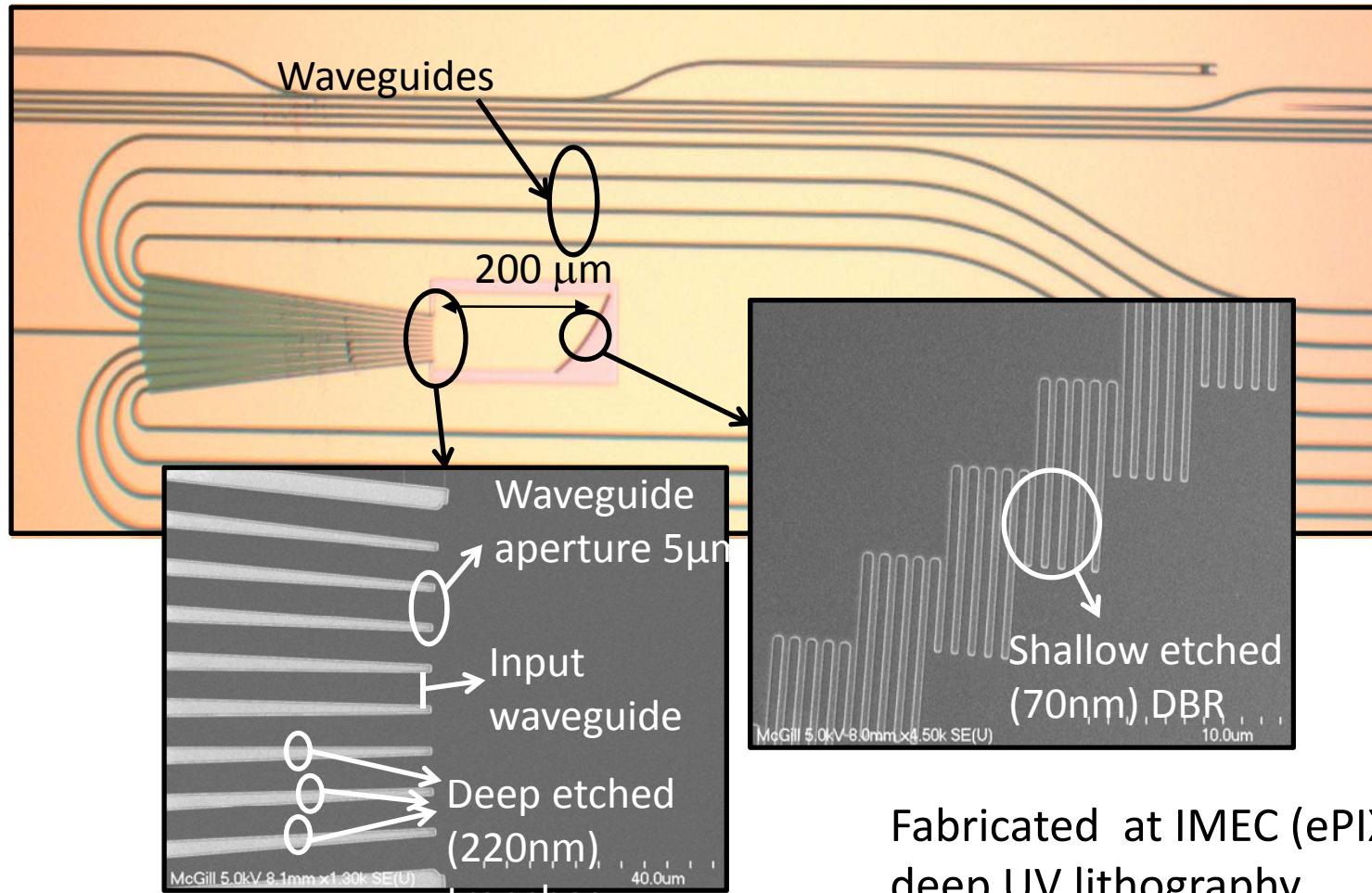
Dispersive properties

Resolution, free spectral range, number of channels:

- Operating diffraction order
- Periodicity (d)
- Facet size (s)
- Number of periods
- Blaze angle
- Focal length



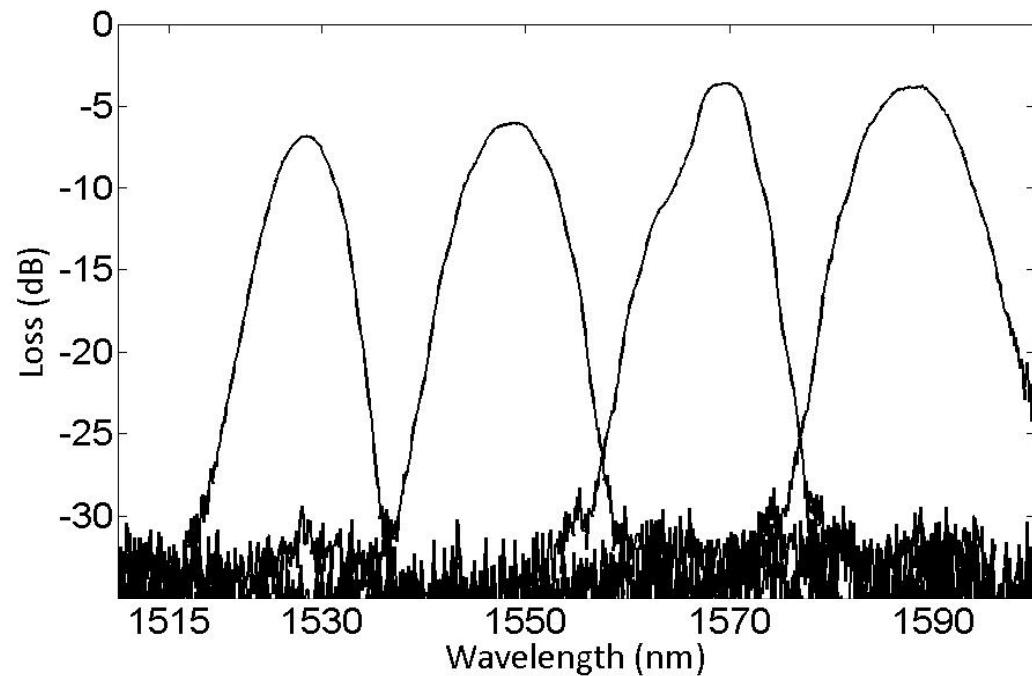
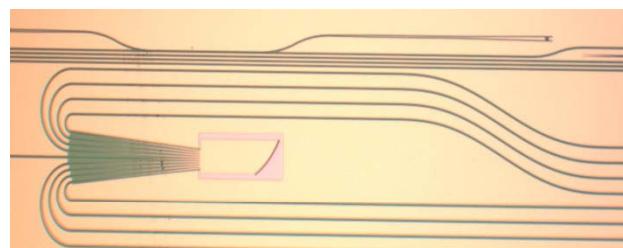
Experimental demonstration in SOI 4 channel, CWDM, 3rd order gratings



Fabricated at IMEC (ePIXfab) via
deep UV lithography

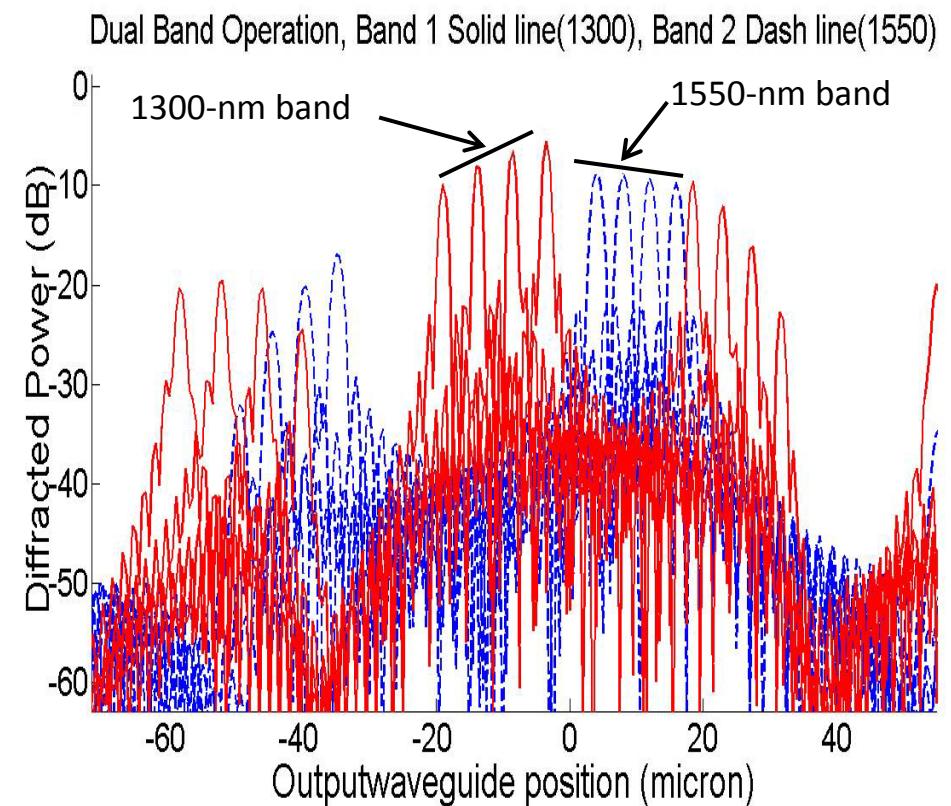
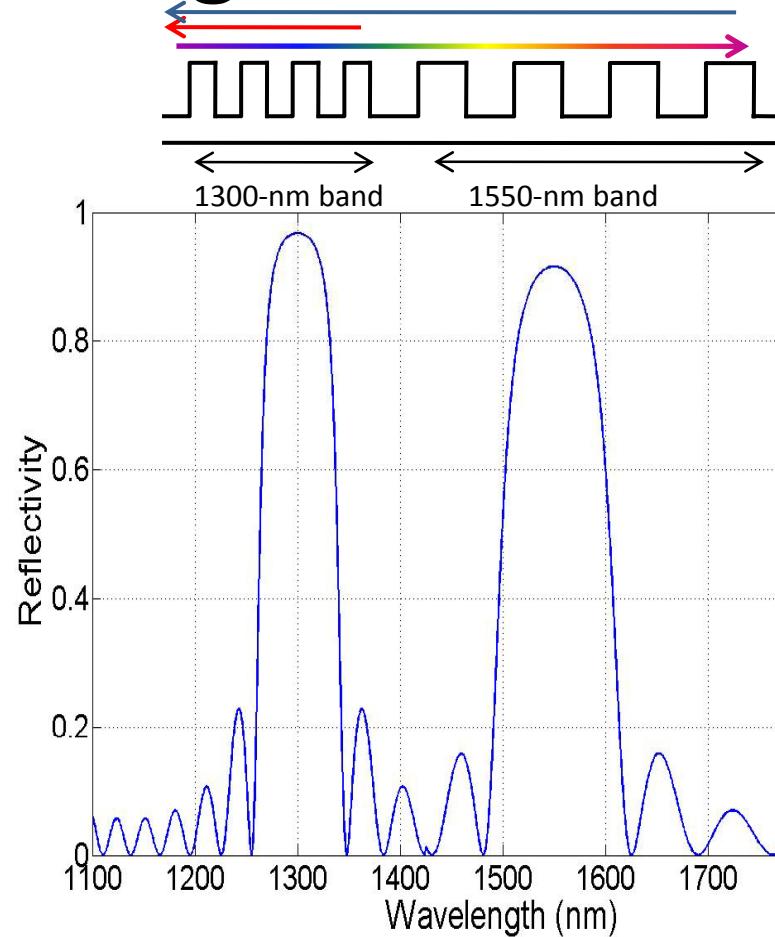
Performance

- 4 channel CWDM
- TE polarization
- 5 dB insertion loss
- 25 dB crosstalk



Spectral engineering

E.g. Dual band operation (simulation)



A.Jafari and A.G.Kirk, 'Distributed Etched Diffraction Grating Demultiplexer with Engineered Response',
Proc. IEEE-LEOS Annual Meeting 2008, Newport Beach, CA, 2008
 Andrew Kirk, June 2010

Integrated Nanophotonics Research Group

Current projects

Planar waveguide devices

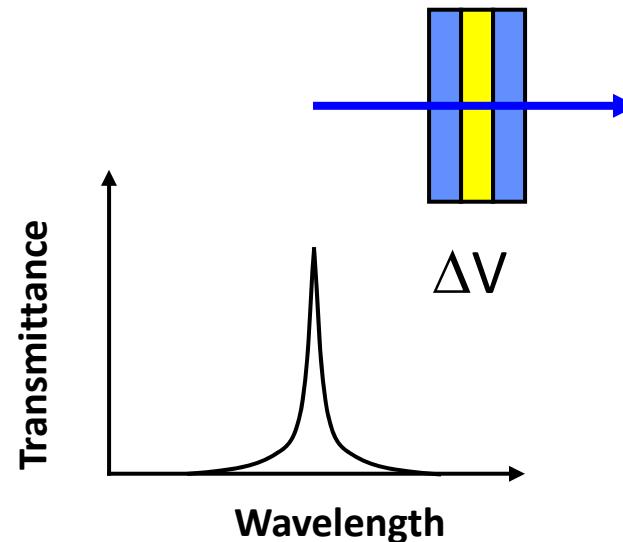
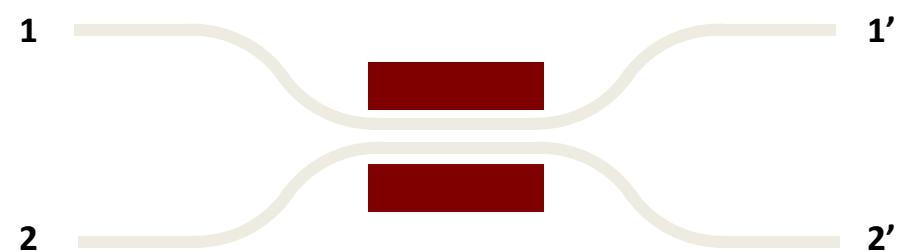
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- Photonic crystal wavelength conversion
- Hybrid laser integration
- **Fabry-Perot comb filter switch**

Biosensors

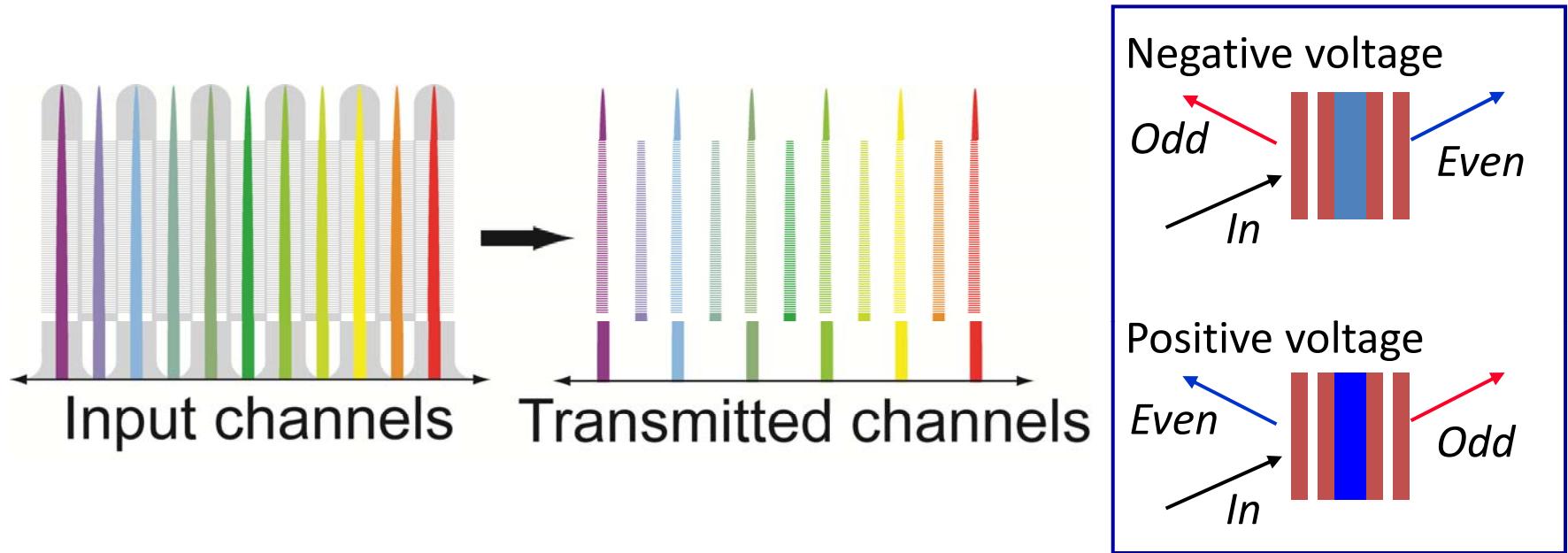
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- Spectro-angular SPR
- Plasmonic polymer
- Cavity ring down resonant sensing
- Nano-crystalline cellulose

Interferometric electro-optic switches

- Integrated Mach-Zender waveguide switches developed 30 years ago, demonstrated in LiNbO_3
- Scaling beyond 8x8 challenging due to waveguide bend limits
- Electro-optic switches based on Fabry-Perot etalon filters are typically narrow band due to small Δn
- However there is the possibility of using free-space slab approach for better scalability



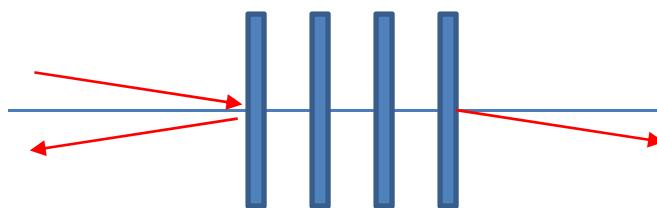
Filter Design: Comb Response



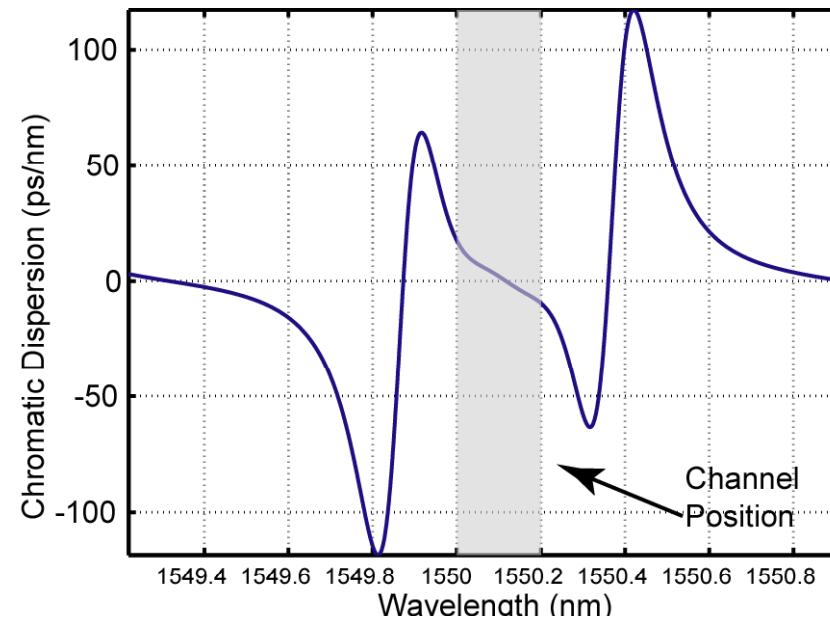
- EO effect shifts the filter response by 1 nm only
- Reduced the filter free-spectral range to create a comb filter with a 200 GHz Spacing
- Bandwidth > 30 nm

M.Menard, A.G.Kirk , 'Integrated Fabry-Perot Comb Filters for Optical Space Switching',
J.Lightwave Technol., **28**, pp 768-775, 2010

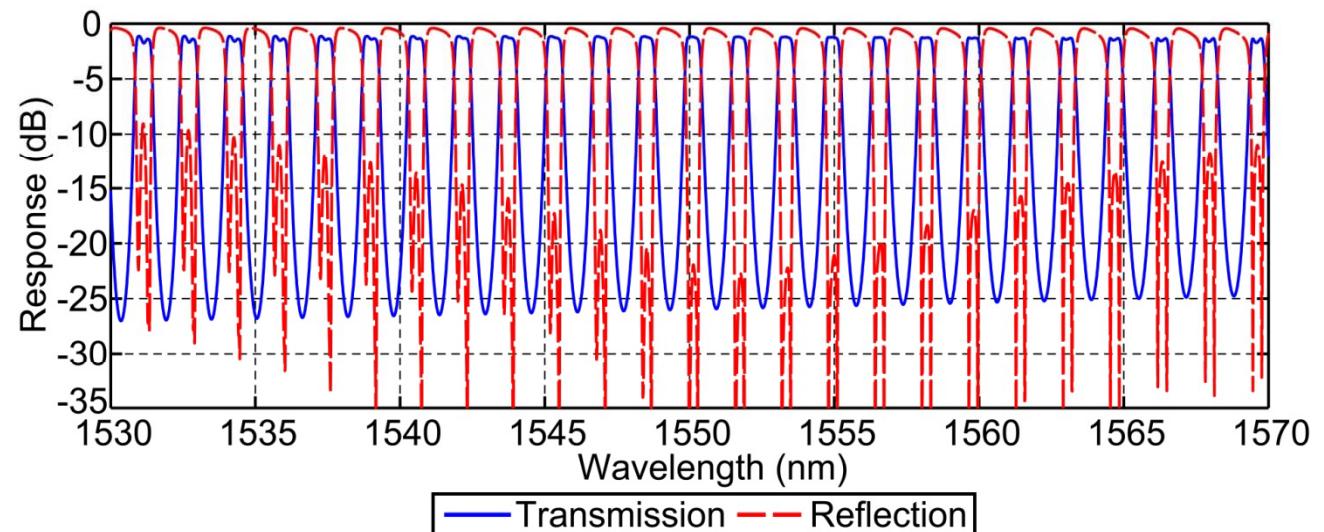
Four coupled cavities, 2nd order mirrors



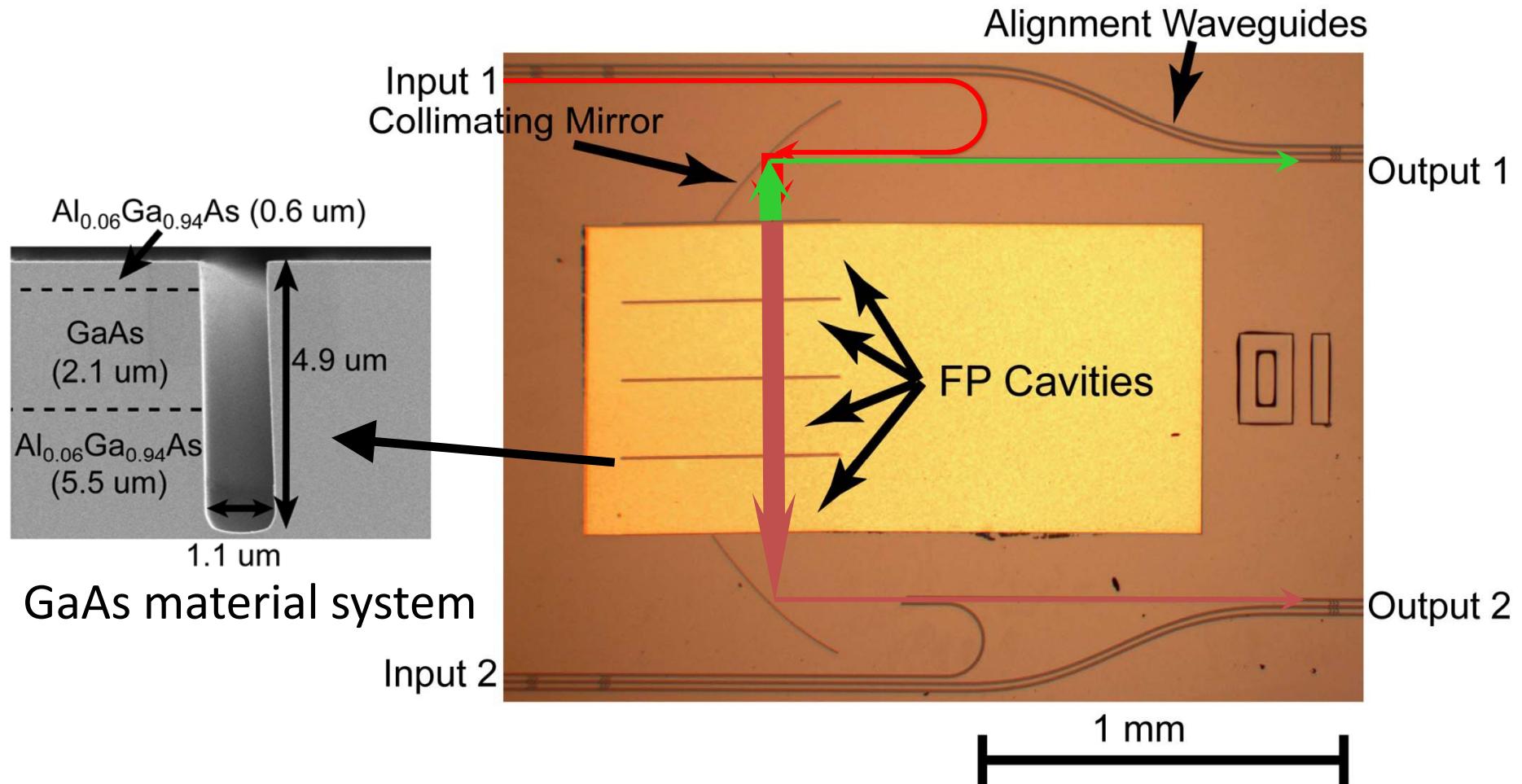
Chromatic dispersion
(simulated):



Spectral response
(simulated):

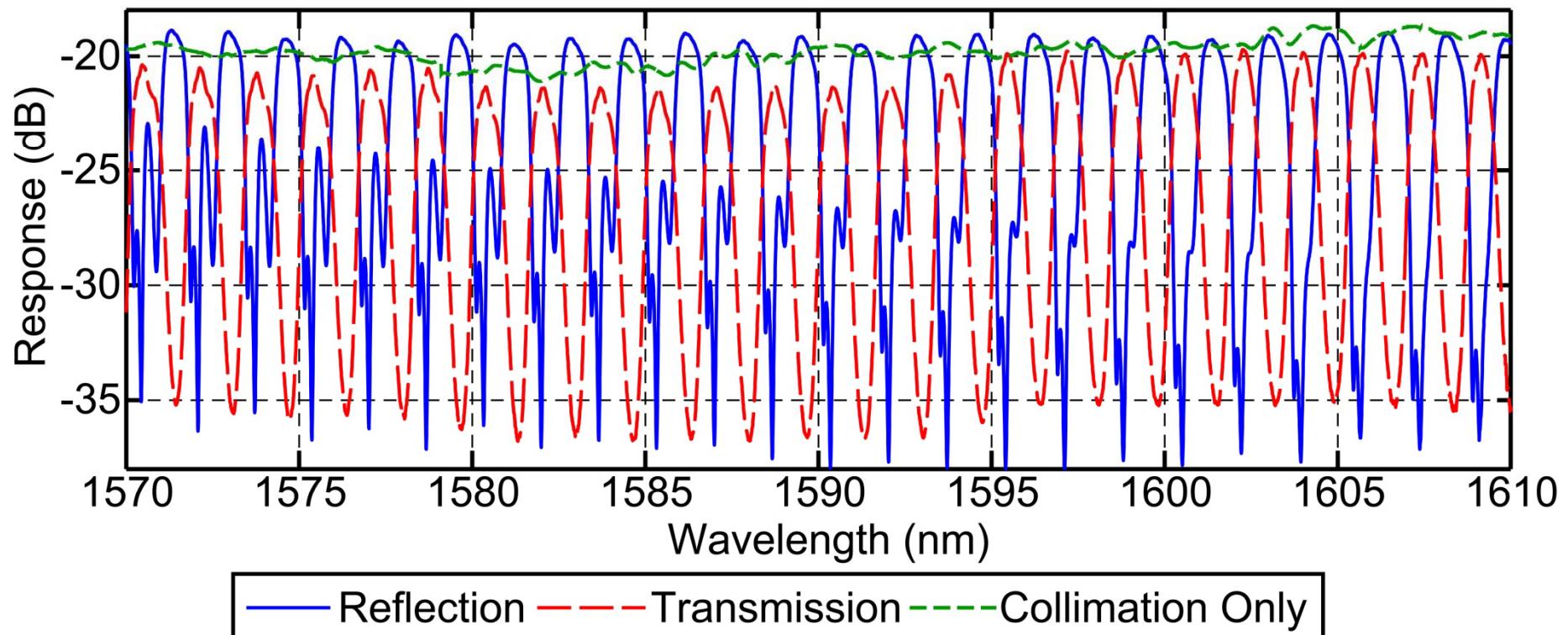


Integrated 2 x 2 optical switch



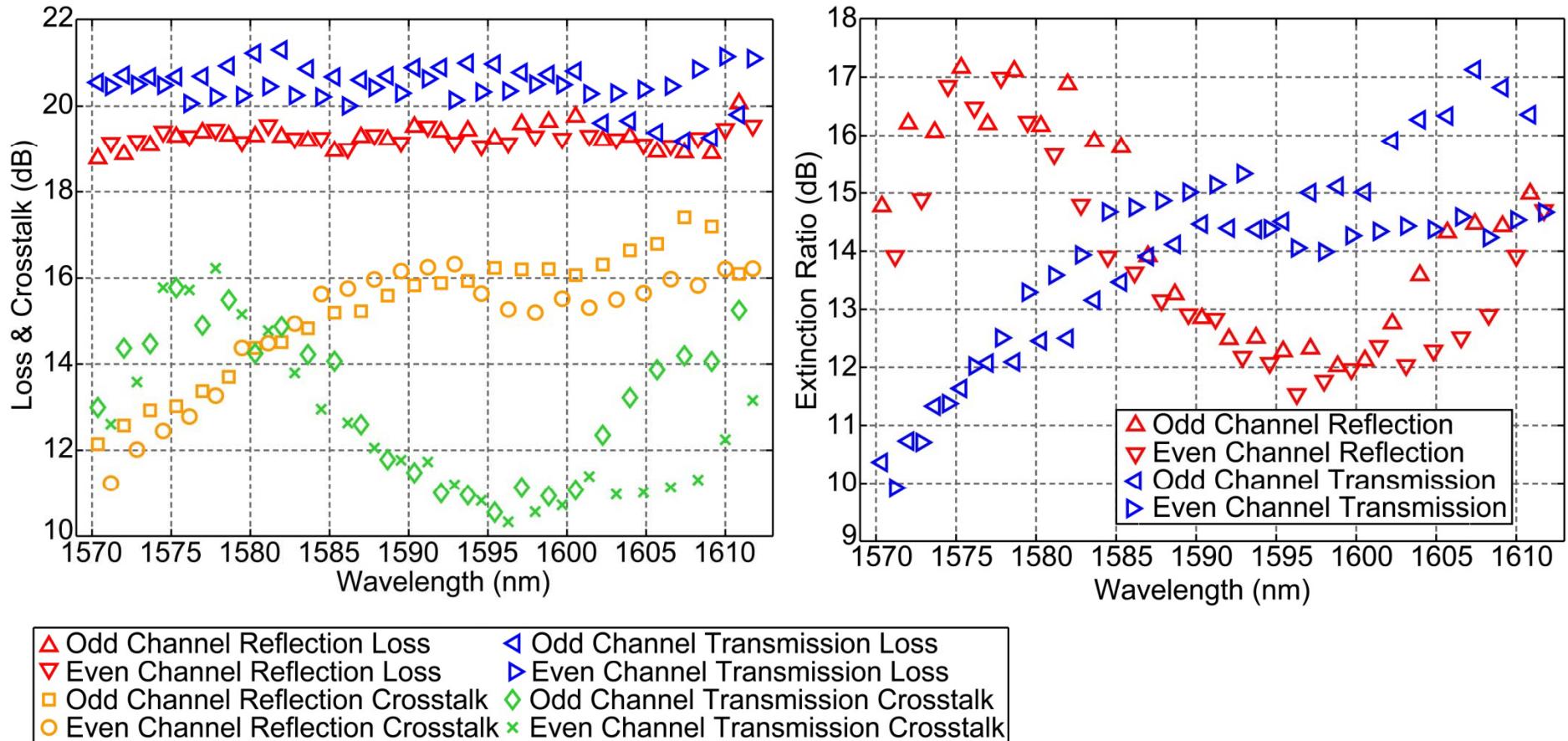
M.Menard, A.G.Kirk, 'Integrated Fabry-Perot Optical Space Switches ',*Optics Express*, 17
pp 17614-17629, 2009

Prototype Spectral Response



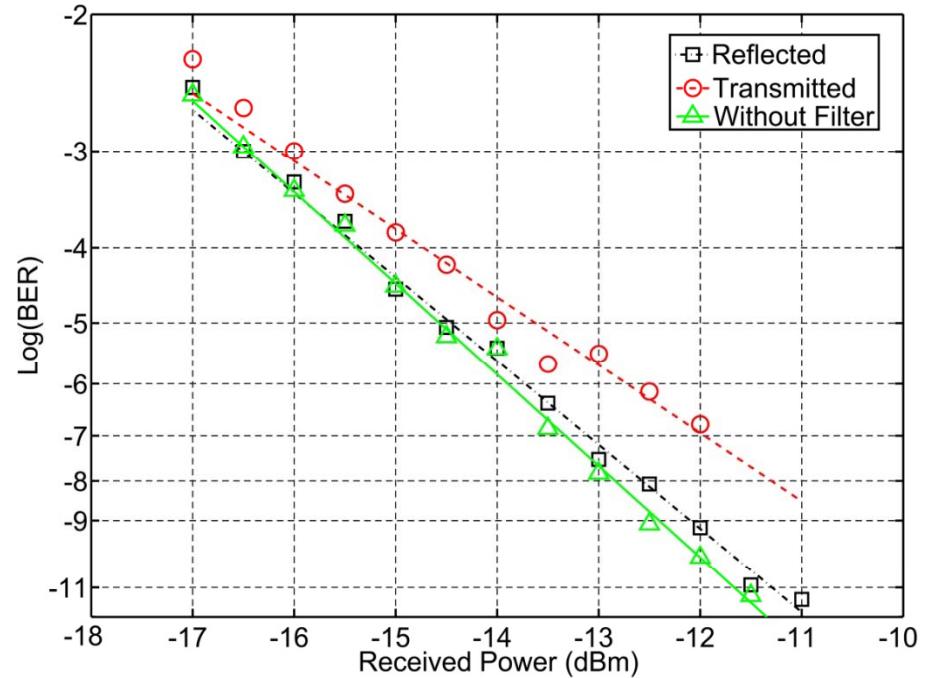
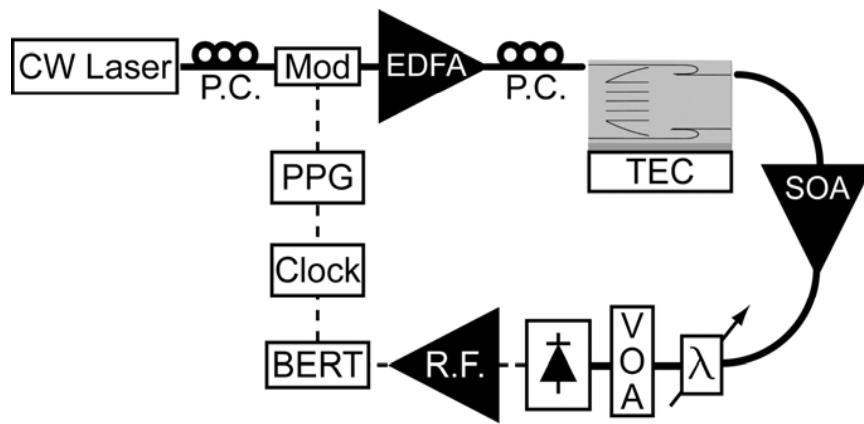
- Fabrication errors shifted the response to the L-band and reduced cavity coupling
- High loss (20 dB) due to misalignment of the input/output waveguides. Additional loss due to filters < 1dB

Prototype Channel Performance



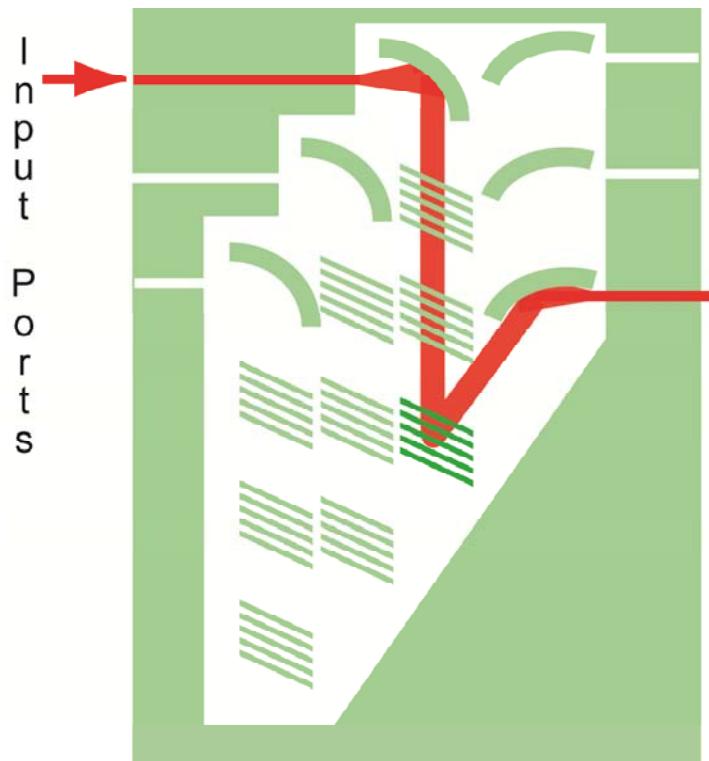
- Fluctuation in crosstalk and extinction ratio due to ripples in the wavelength response

Prototype 10 Gb/s BERT

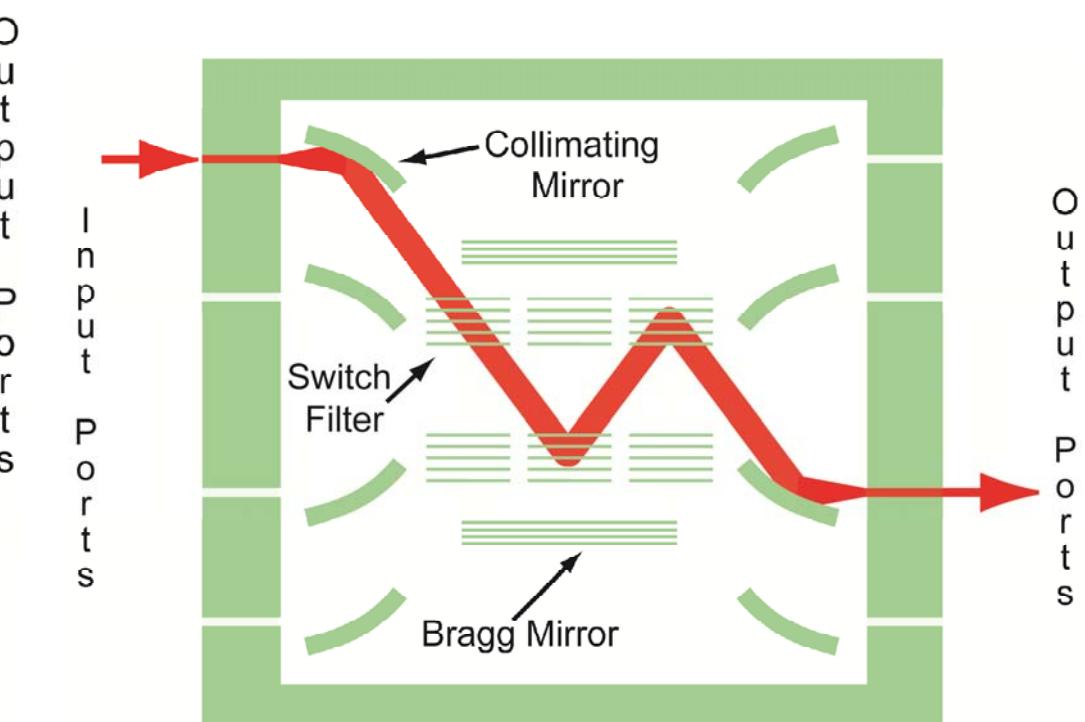


- Transmission power penalty caused by the combination of collimation & radiation, which brought the output power below the SOA sensitivity floor

Switch Fabric Layouts



3x3 Crossbar



4x4 Shuffle
Benes

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Current projects

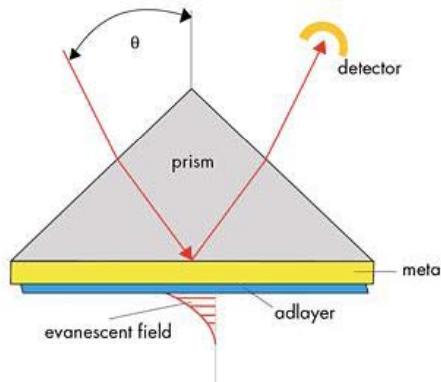
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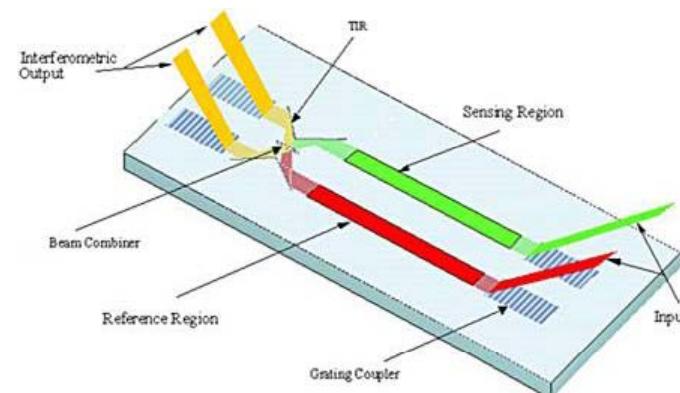
Biosensors

- **Integrated SPR**
- **Grating-enhanced SPR**
- **Spectro-angular SPR**
- Plasmonic polymer
- Cavity ring down resonant sensing
- Nano-crystalline cellulose

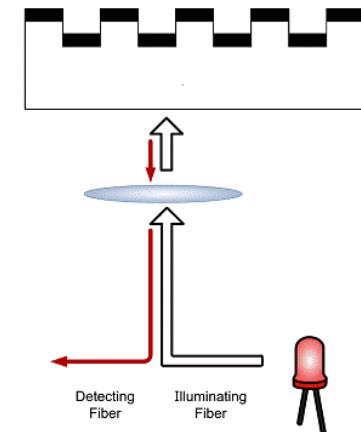
Photonic biosensor Types



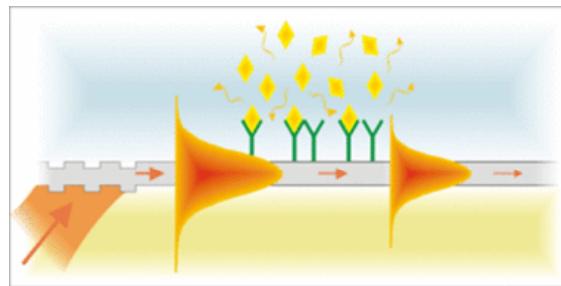
SPR Biosensor



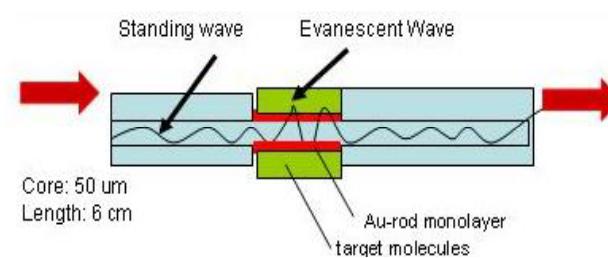
Interferometer Biosensor



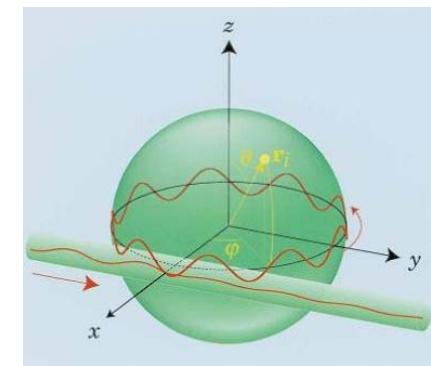
Photonic Crystal Biosensor



Waveguide Biosensor



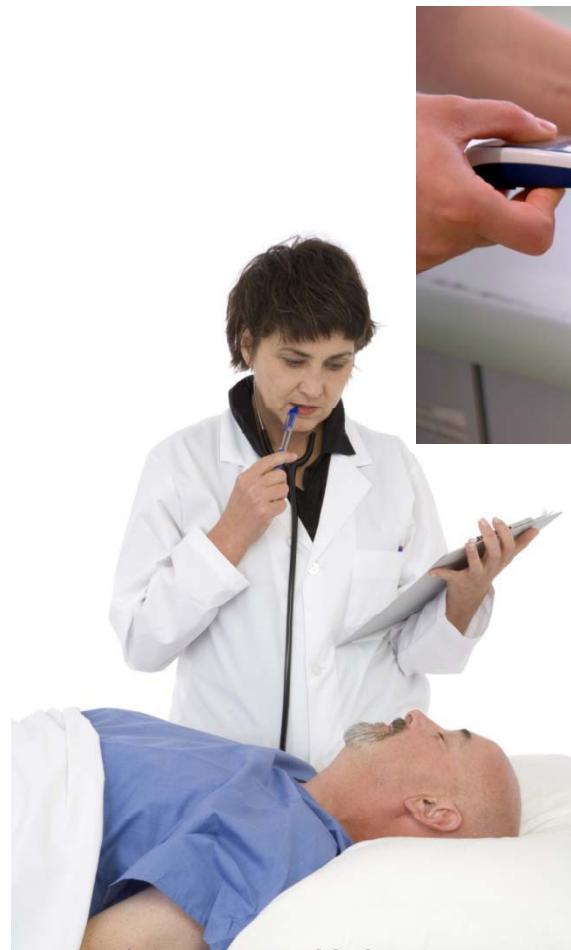
Optical Fiber Biosensor



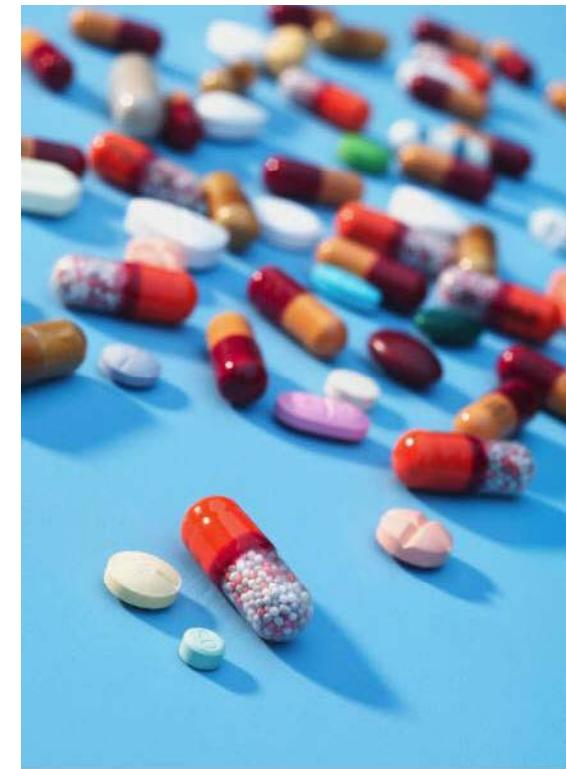
Micro-Cavity Biosensor

Motivation

1. Improving Sensitivity for Biomarker-Based Diagnosis



Andrew Kirk, June 2010



2. Drug discovery

Integrated photonic systems

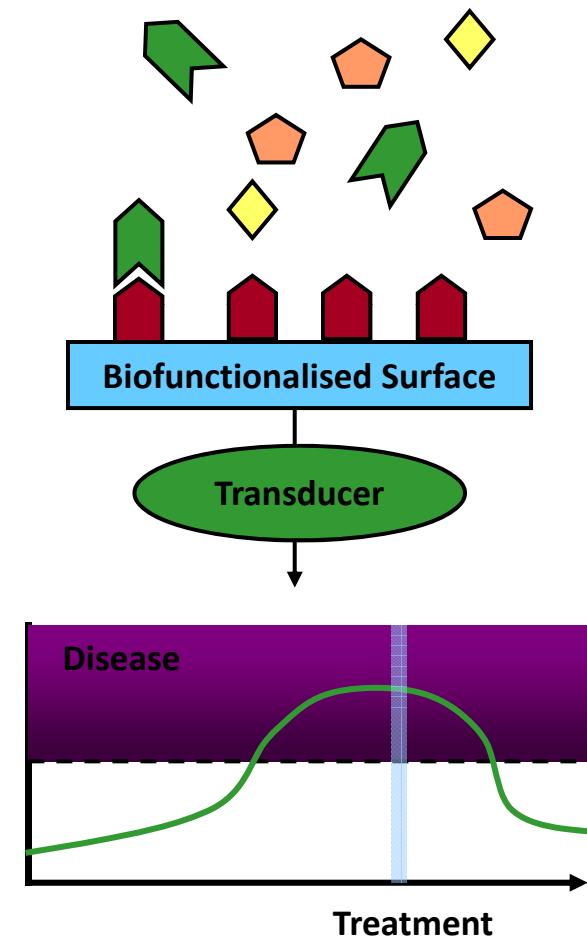
Motivation: Improving Sensitivity for Biomarker-Based Diagnosis

Biosensor Requirements

- Multiple biomarker detection for effective diagnosis
- Small proteins (< 100 kDa)
- Low concentration pg – ng /mL
- Require Real-time sampling and on-going measurement for fluctuations
- Label free
- Integrated biosensor

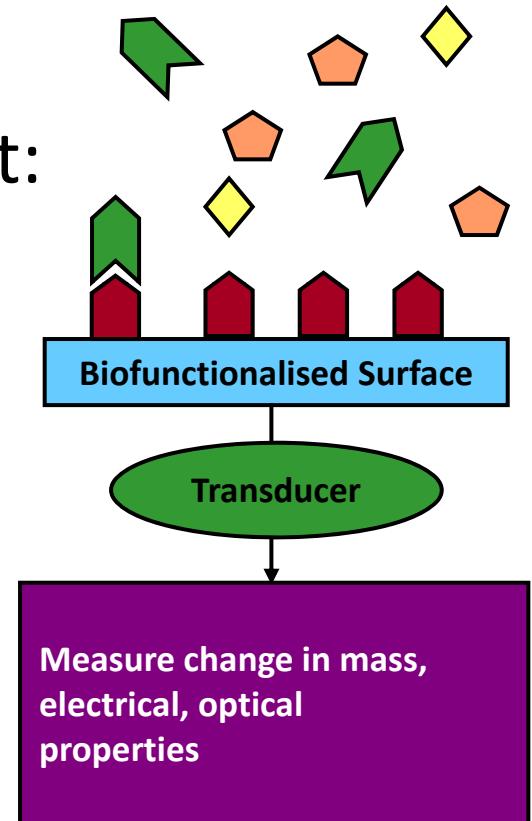
Nanostructure and Nanoparticles

Signal Amplification



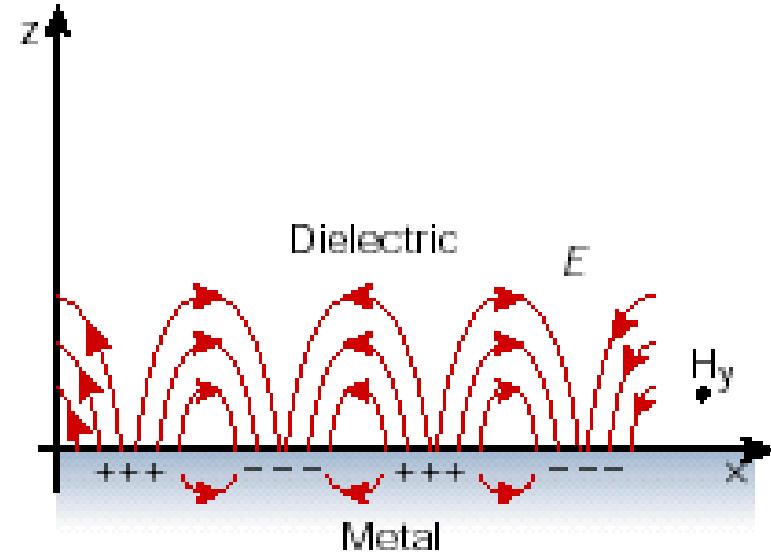
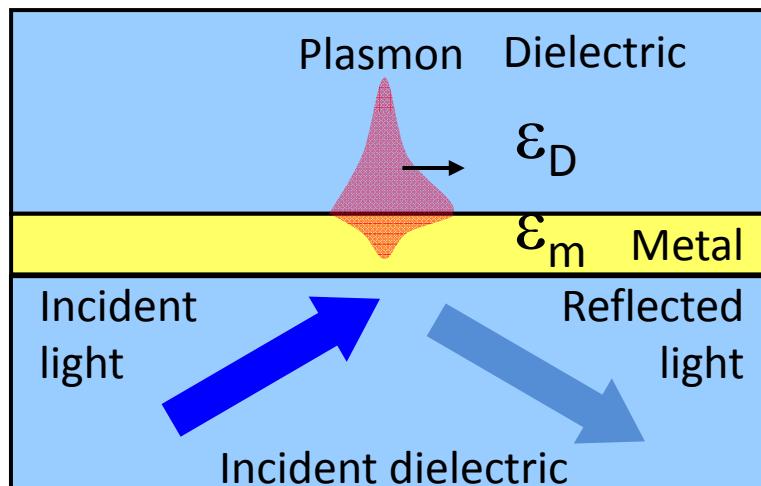
Transduction mechanisms

- Affinity of sensor is determined by functionalized surface
- Many transduction mechanisms exist:
- Mass sensing
 - E.g. Quartz crystal microbalance
- Electrical sensing
 - E.g. capacitative sensing
- Optical sensing
 - Evanescent wavesensors
 - Surface plasmon resonance (SPR) sensors



Surface plasmon polariton

- Surface plasmon: electron density wave on a metal, excited by incident light
- Plasmon excited when momentum of incoming wave matches that of plasmon
- Results in *reflectance dip*



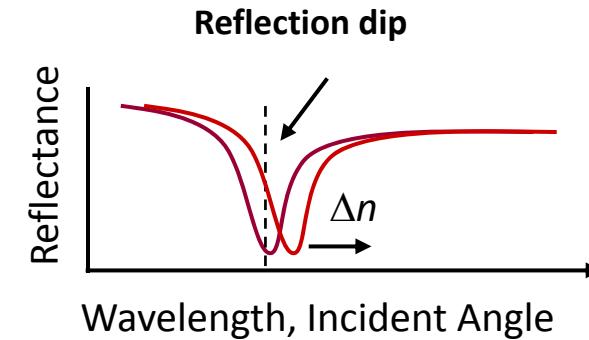
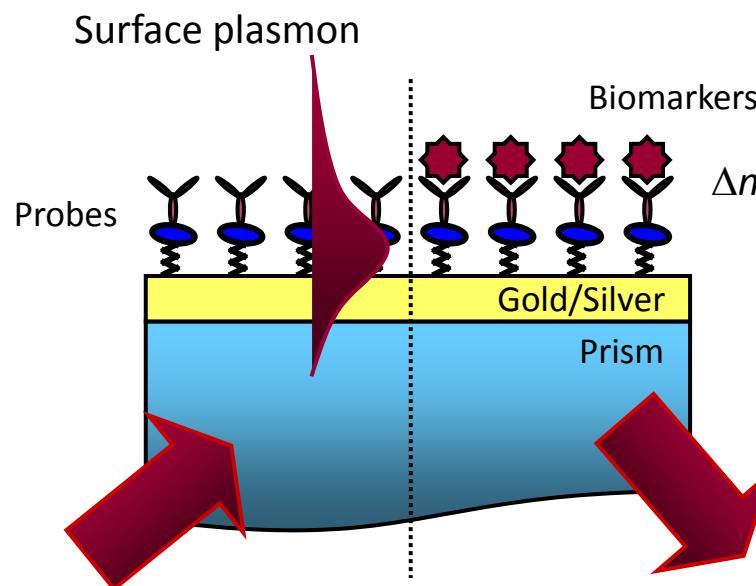
Plasmon momentum:

$$k_{sp} = \frac{\omega}{c} \sqrt{\frac{\epsilon_D \epsilon_m}{\epsilon_D + \epsilon_m}}$$

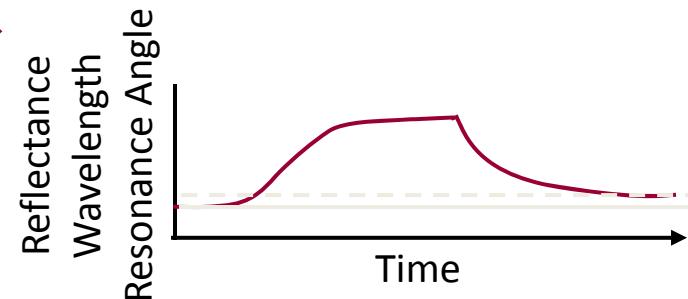
ω : frequency, ϵ : dielectric constant: c : speed of light

Surface Plasmon Resonance Sensing

- Label-free sensing technique
- Picomolar concentrations detectable
- $10^{-6} - 10^{-8}$ refractive index units

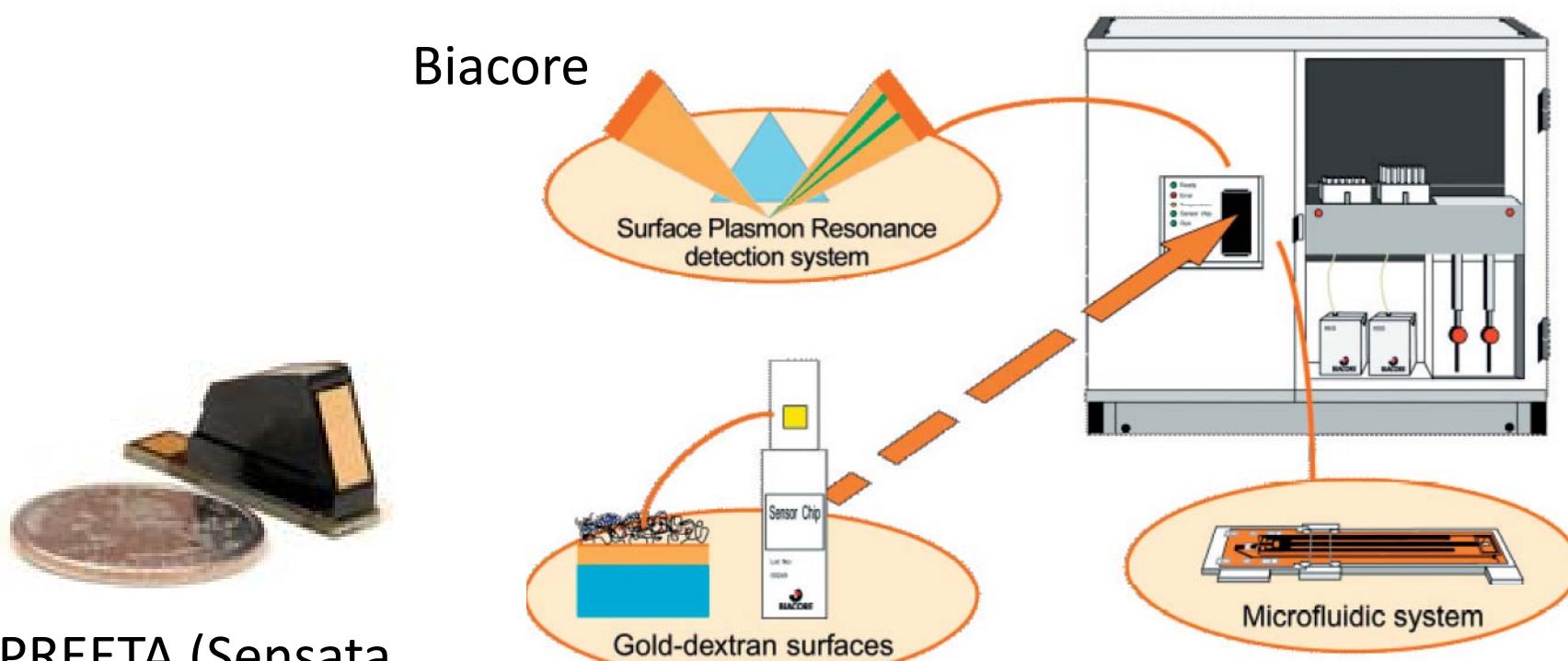


$$\theta_{res} \cong \sin^{-1} \left(\frac{1}{n_s} \sqrt{\frac{\epsilon_M \epsilon_D}{\epsilon_M + \epsilon_D}} \right)$$



Commercial SPR

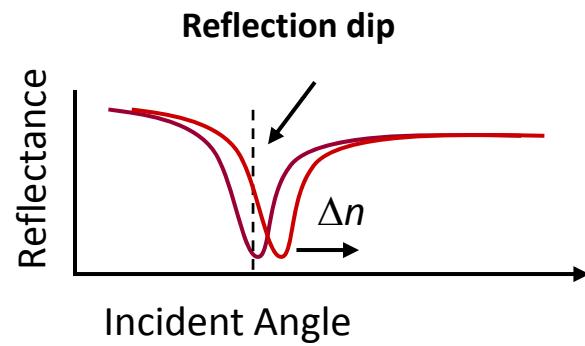
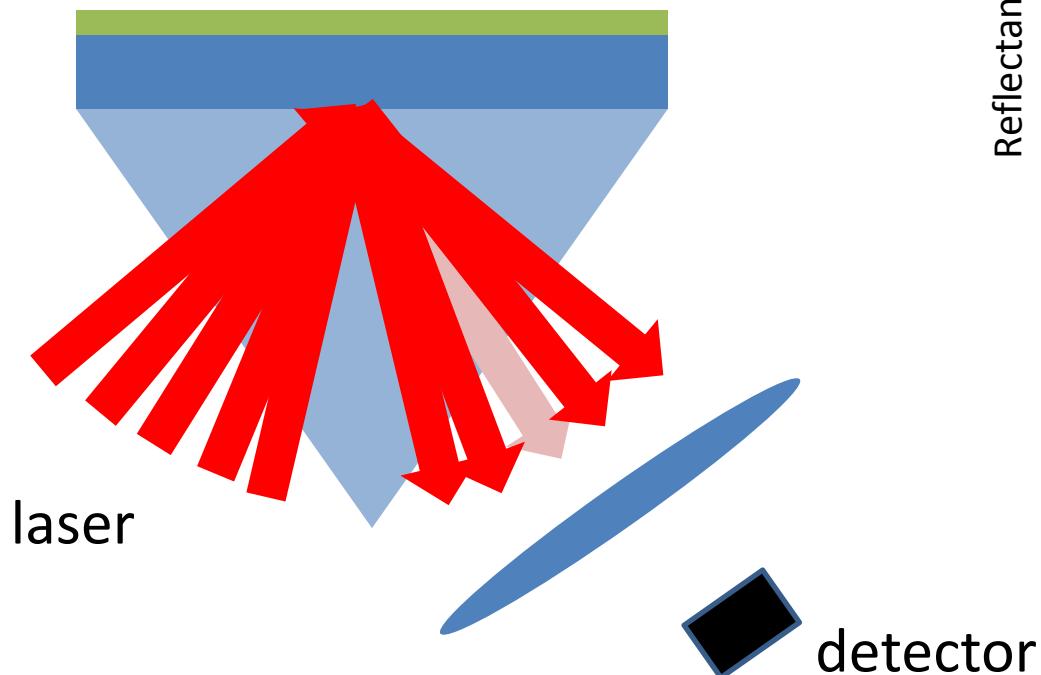
Several commercial SPR analysis systems exist



SPREETA (Sensata
Inc)

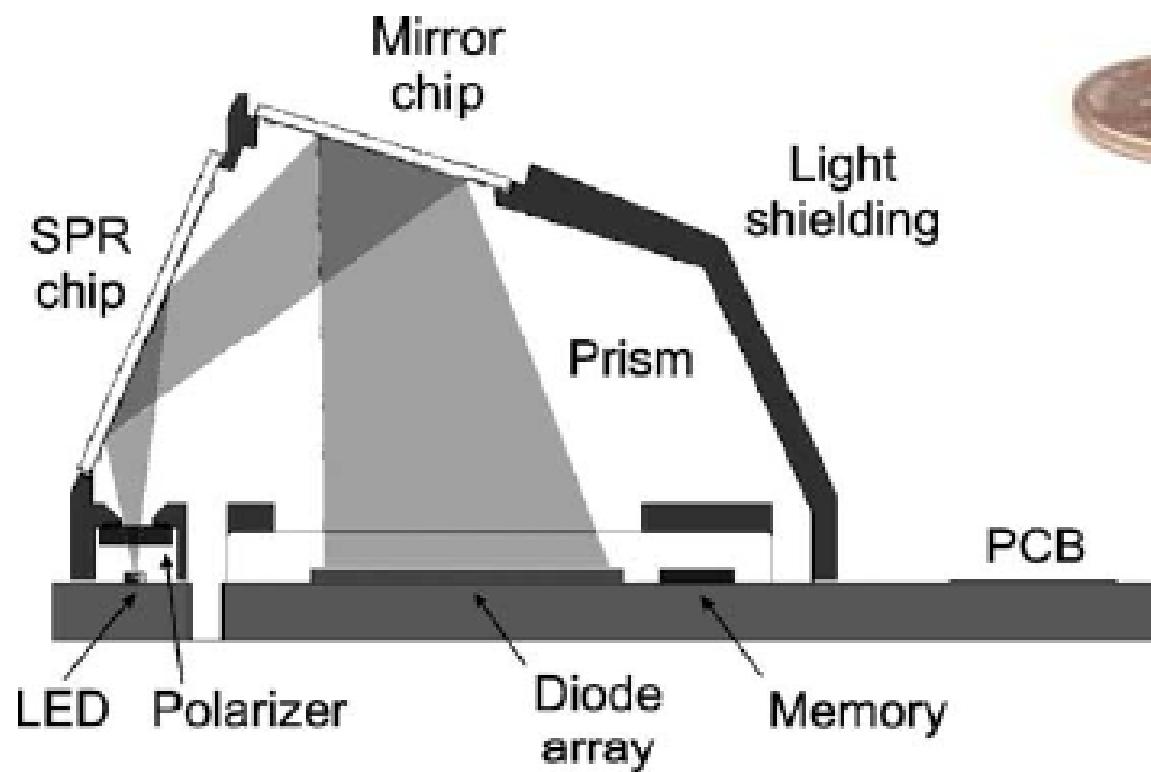
Angle scanning sensors

- E.g. Biacore



Angular spectrum sensor

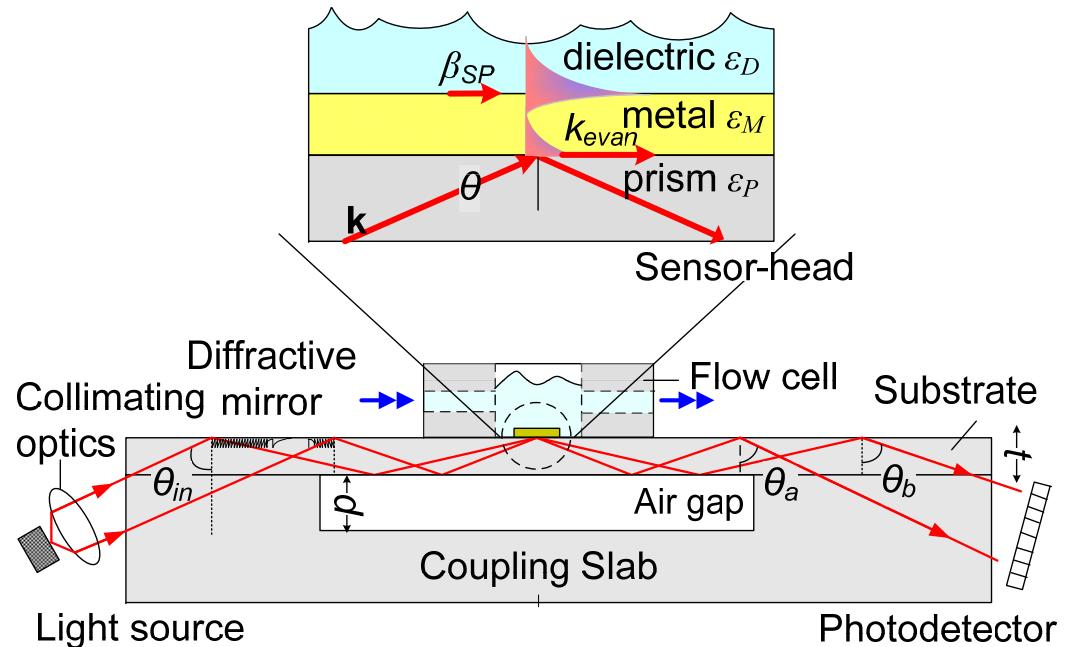
- SPREETA sensor



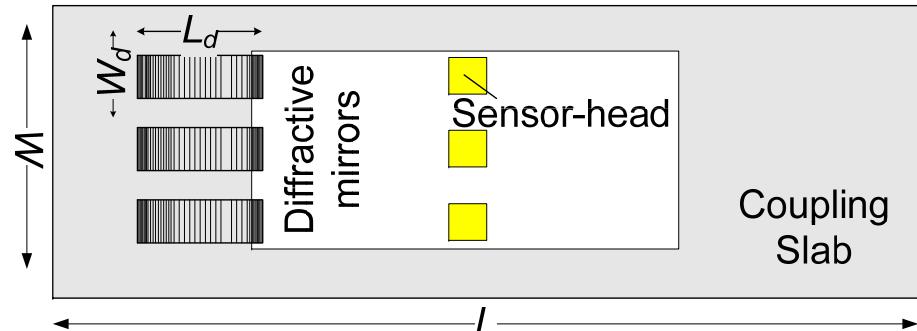
Integrated SPR sensor

- Angle sensing SPR
- **Objective:** Replace external focusing optics with moldable diffractive elements on disposable sensor head

Side

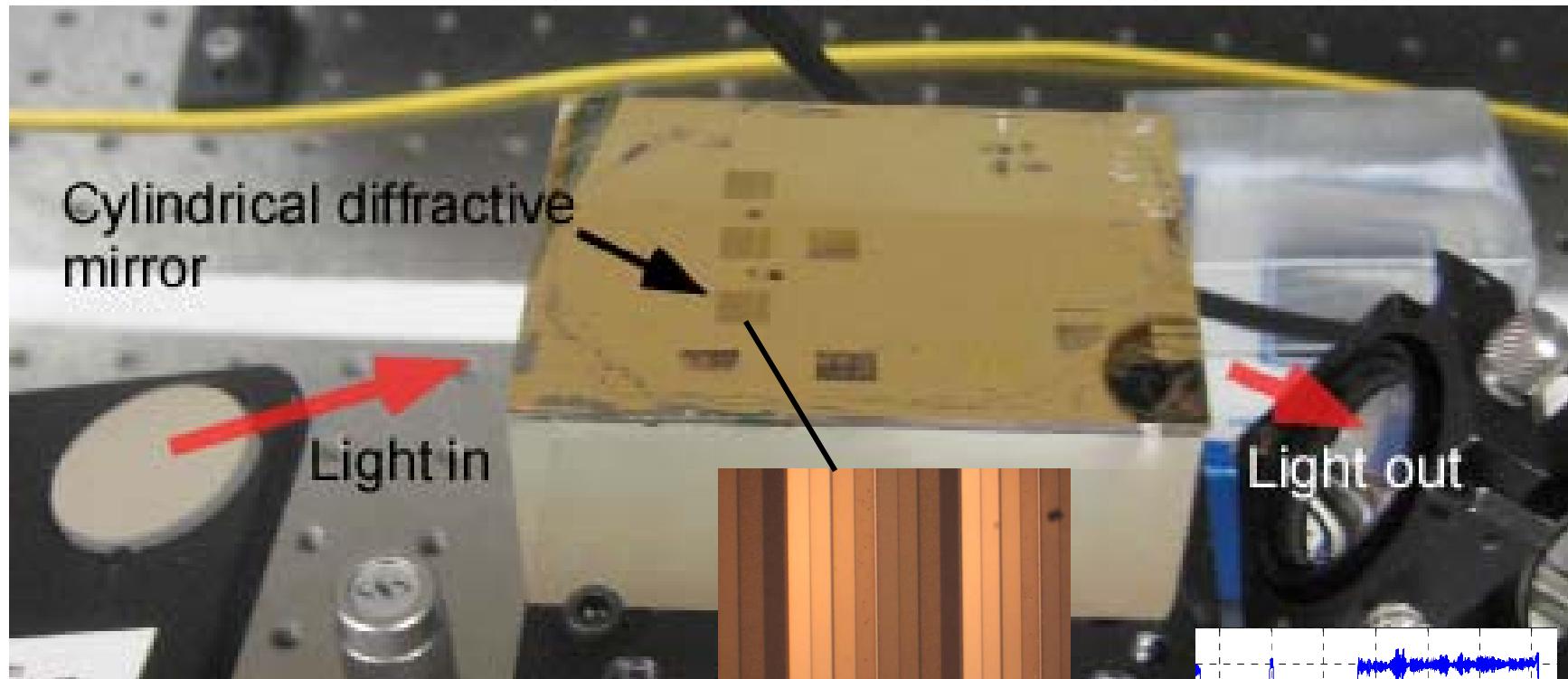


Top

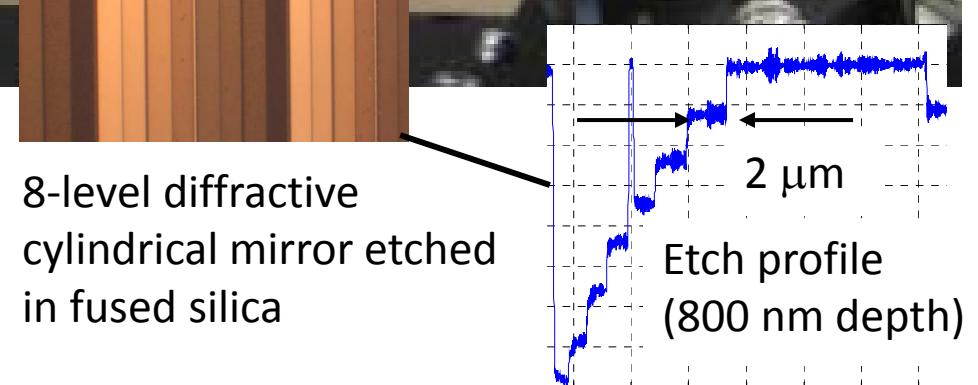


W-Y Chien, M. Z. Khalid, X.D. Hoa, A. G. Kirk, 'Monolithically Integrated Surface Plasmon Resonance Sensor Based on Focusing Diffractive Optic Element for Optofluidic Platforms', *J.Sensors and Actuators B*, **138**, 441-445, 2009

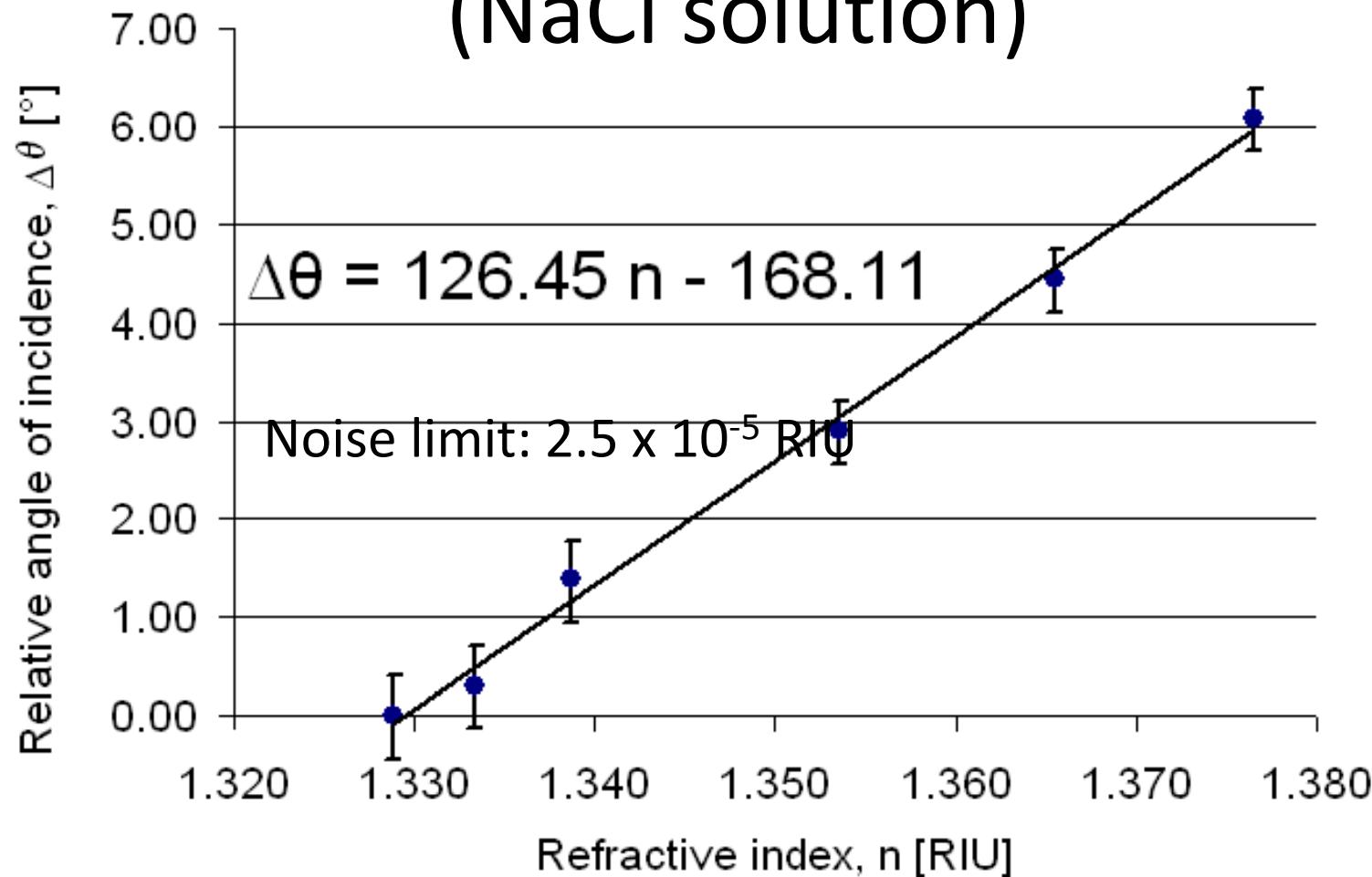
Fabricated device



- Surface plasmon resonance sensing
- Complete optical system integrated onto sensor chip



Results: Refractive index measurement (NaCl solution)



W-Y Chien, M. Z. Khalid, X.D. Hoa, A. G. Kirk, 'Monolithically Integrated Surface Plasmon Resonance Sensor Based on Focusing Diffractive Optic Element for Optofluidic Platforms', *J.Sensors and Actuators B*, **138**, 441-445, 2009

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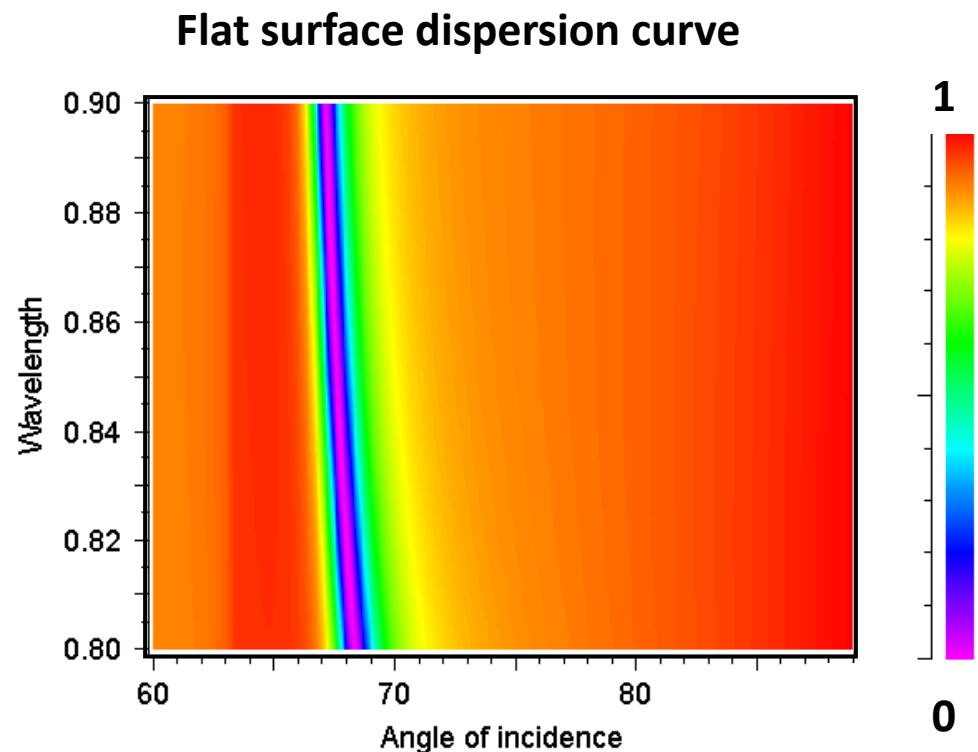
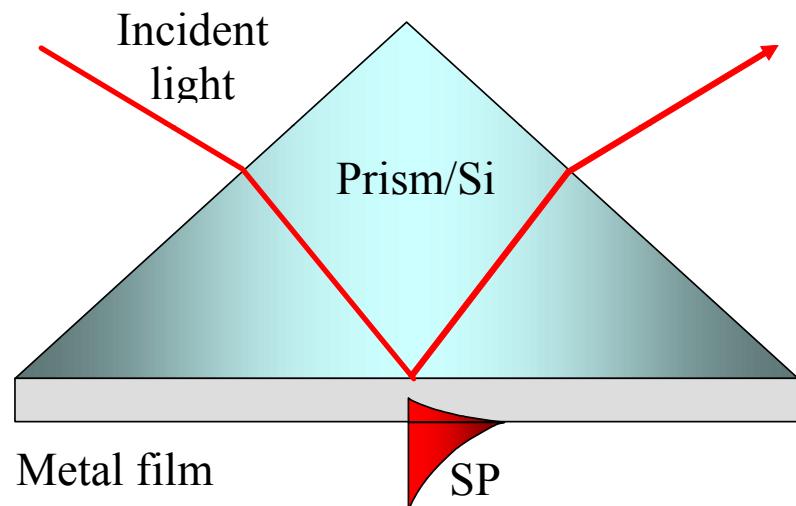
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Enhancing SPR response

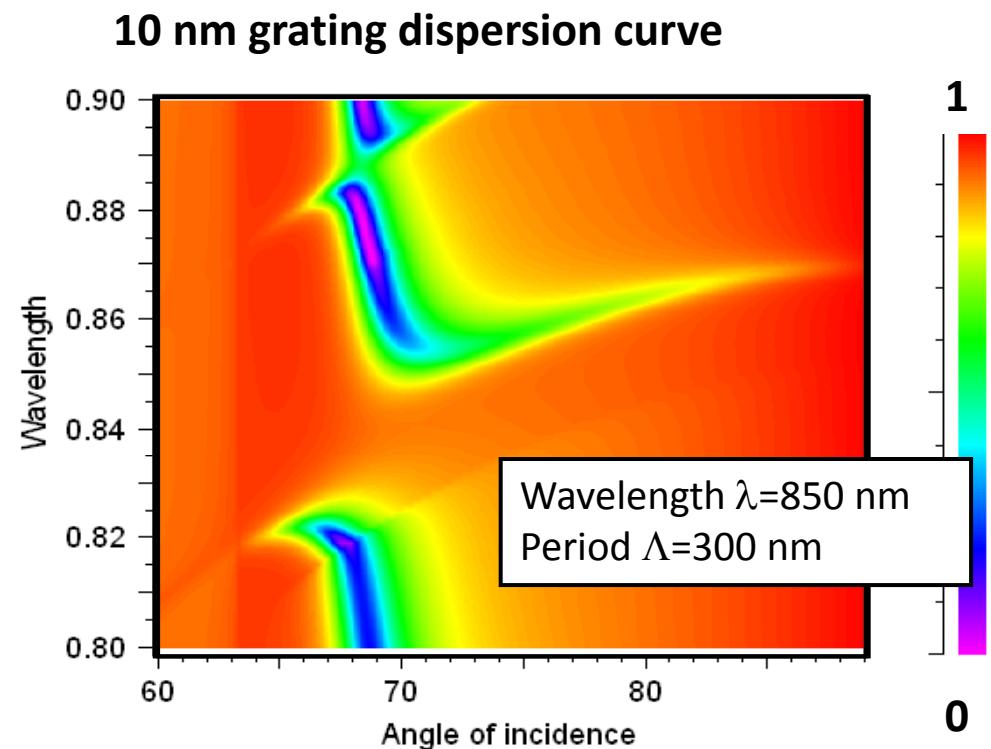
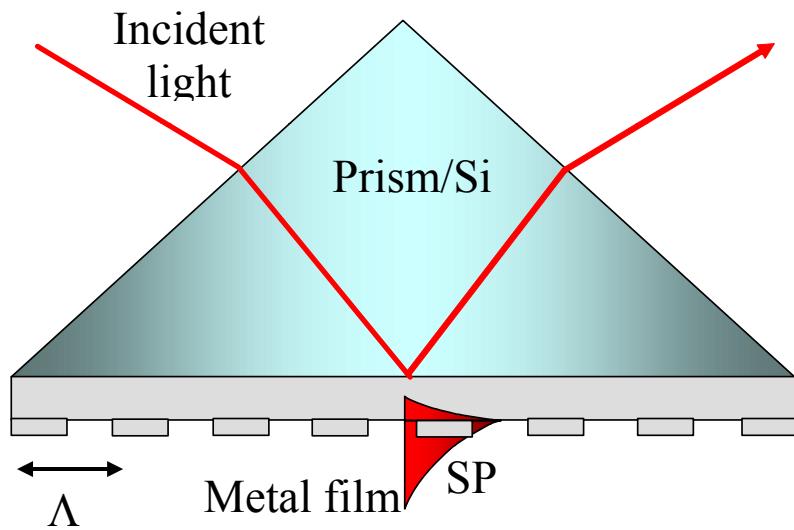
- To increase SPR sensitivity we need to amplify the effects of small changes in refractive index at the surface
- Sensitivity is measured as either:
 - Change in dip angle vs. refractive index ($\Delta\theta/\Delta\text{RIU}$) or
 - Change in dip wavelength vs. refractive index ($\Delta\lambda/\Delta\text{RIU}$)
- Two possible approaches:
 - Increase field concentration and penetration (e.g. use nanoparticles)
 - Use optically resonant structures

Periodic metallic gratings



Periodic metallic gratings

- Creates bandgap in dispersion curve



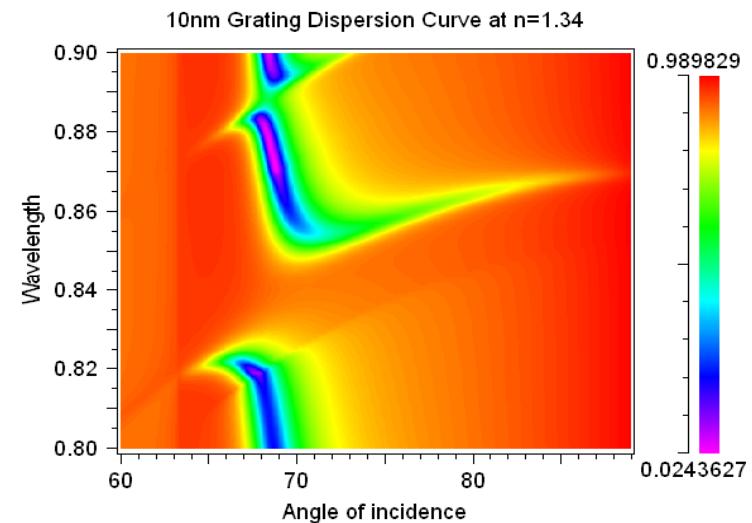
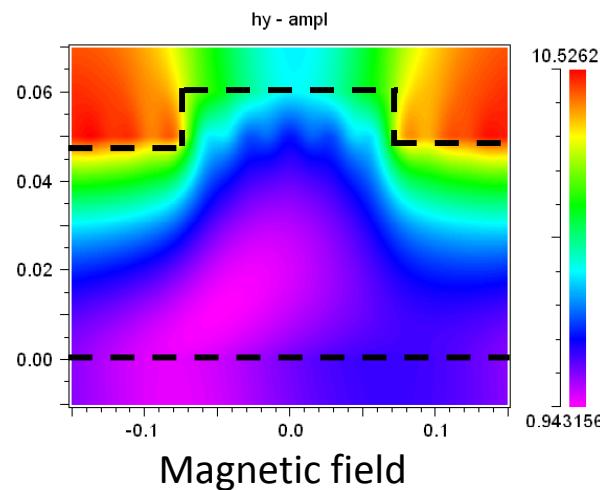
Bandgap appears at Bragg wavelength:

$$\Lambda_B = \frac{\lambda_{sp}}{2}$$

Effect of grating

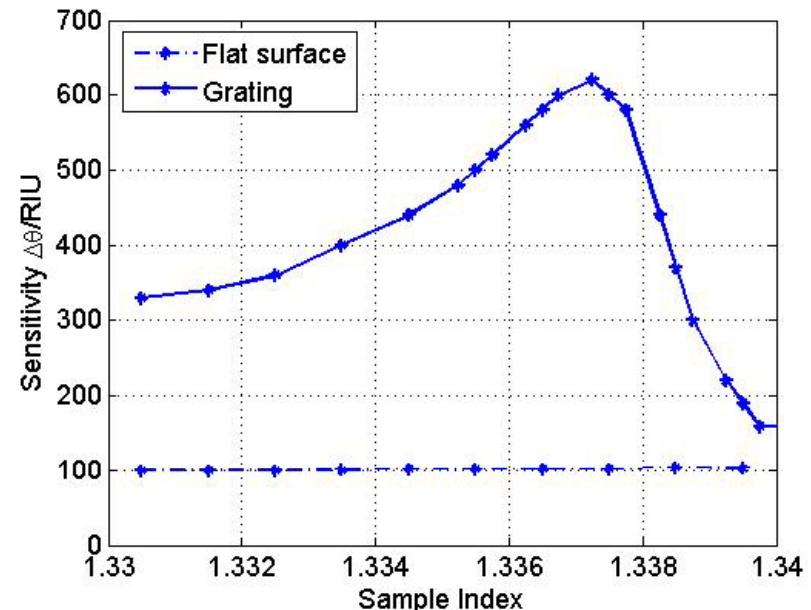
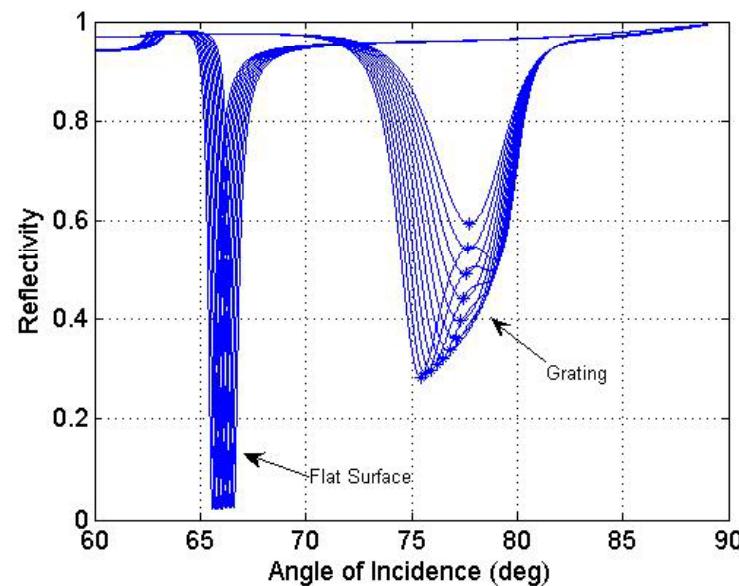
- Plasmon propagation is forbidden at the bandgap
- Creates plasmon standing waves:
 - Increases electric field penetration into dielectric
 - Increases speed at which dip moves as a function of refractive index
- Results in increased sensitivity

Rigorous coupled wave analysis simulation for one period of grating



Sensitivity enhancement

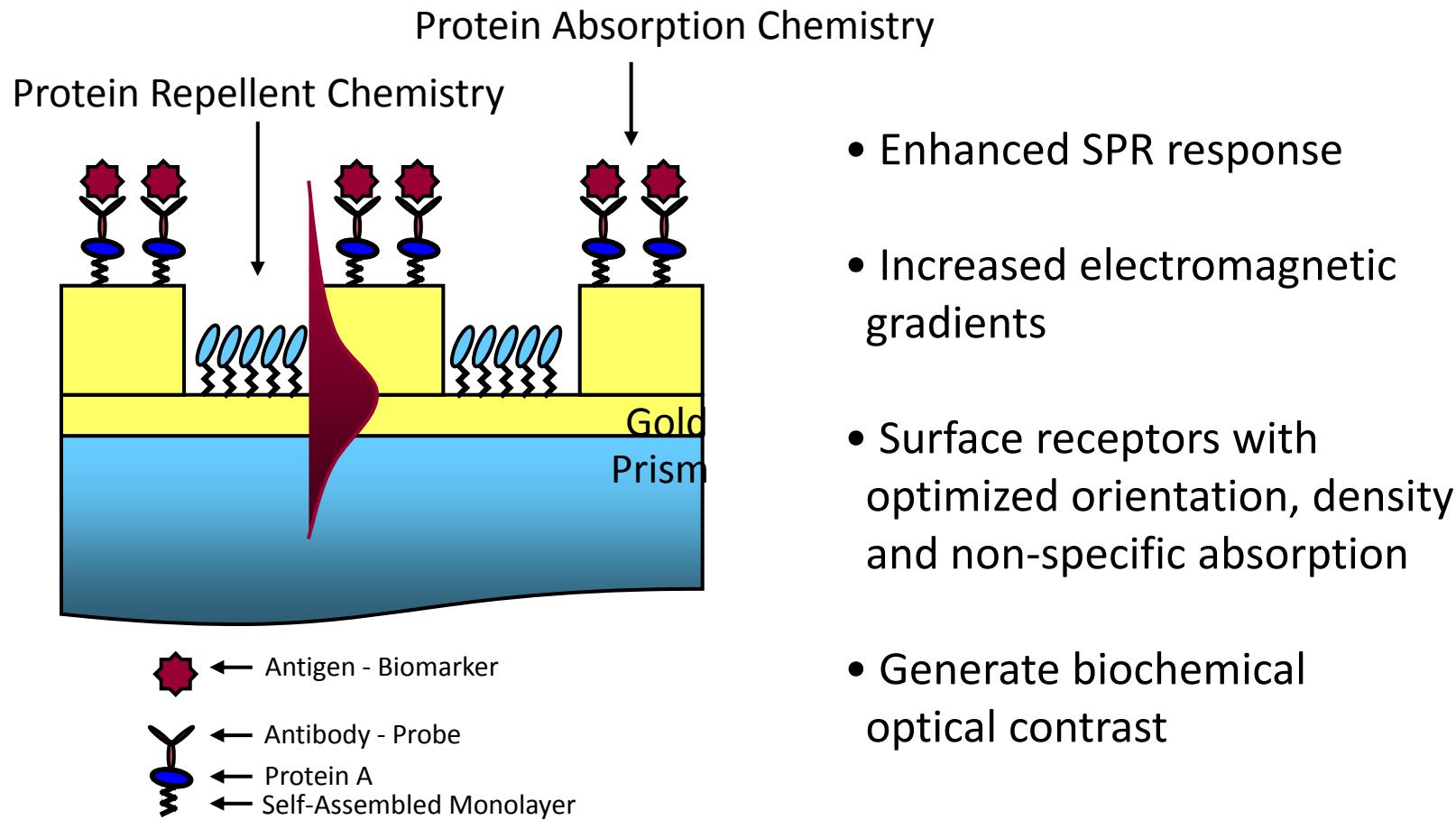
Sinusoidal gratings show a 6 x increase in sensitivity vs. flat



However, for a given wavelength, range is limited. Increase range by measuring in two-dimensions (wavelength and angle)

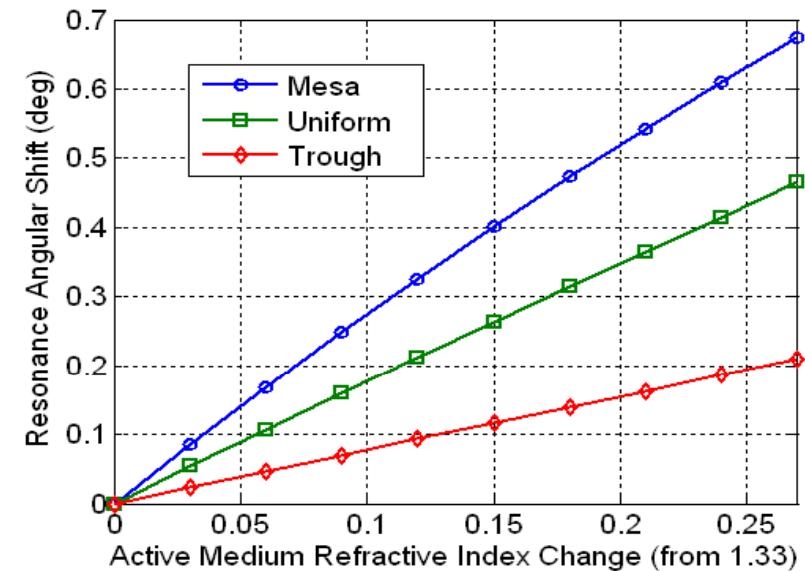
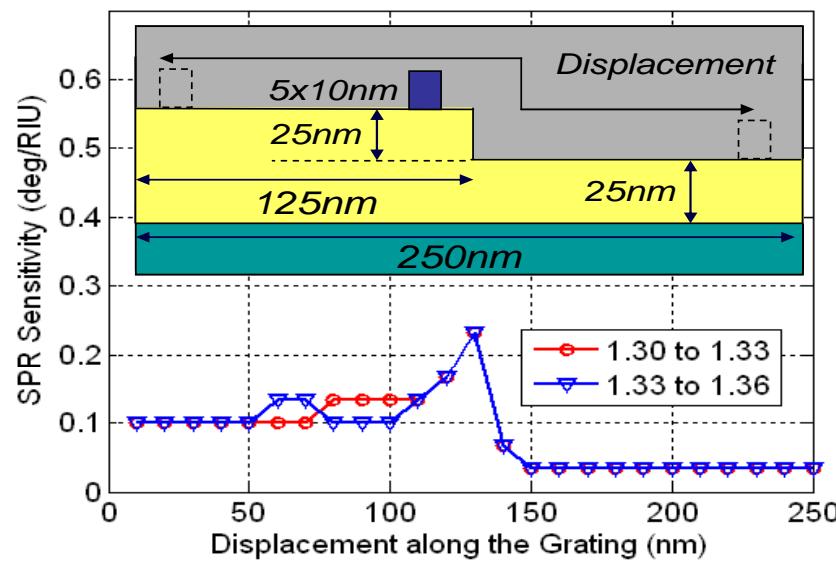
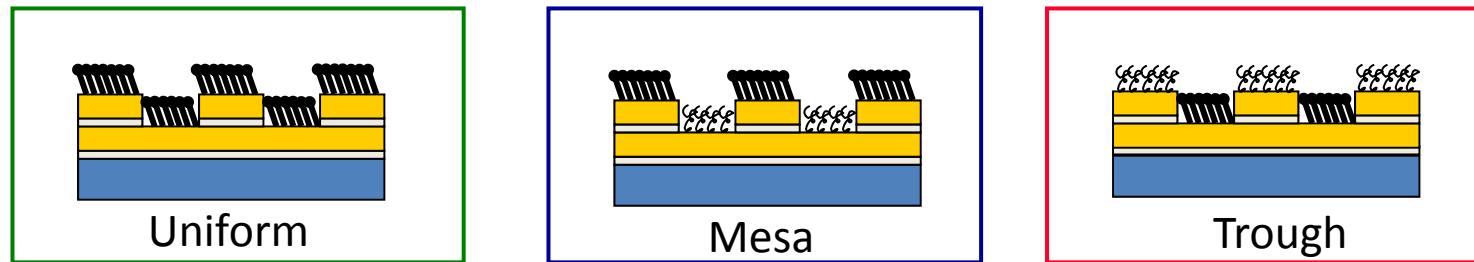
C.J. Alleyne, A.G. Kirk, R.C. McPhedran, N-A.P. Nicorovici, and D. Maystre, 'Enhanced SPR sensitivity using periodic metallic structures', *OSA Optics Express*, **15**, pp 8163-1869, 2007

Experimental evaluation: Grating + patterned surface chemistry



X.D. Hoa, M. Tabrizian, A. G. Kirk, Enhanced SPR Response from Patterned Immobilization of Surface Bioreceptors on Nano-gratings, *J.Biosensors and Bioelectronics*, 24 (2009) 3043–3048, 2009.

Rigorous Coupled Wave Analysis Modelling



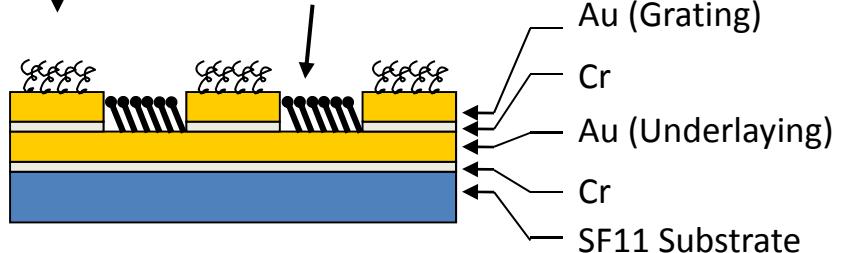
X.D. Hoa, M. Tabrizian, A. G. Kirk, 'Rigorous Coupled-Wave Analysis of Surface Plasmon Enhancement from Patterned Immobilization on Nano-Gratings', *J.Biosensors*, doi:10.1155/2009/713641, 2009.

Microfabrication and Surface Chemistry

Protein Repellent Chemistry (**PASSIVE**)



Protein Absorption Chemistry (**ACTIVE**)



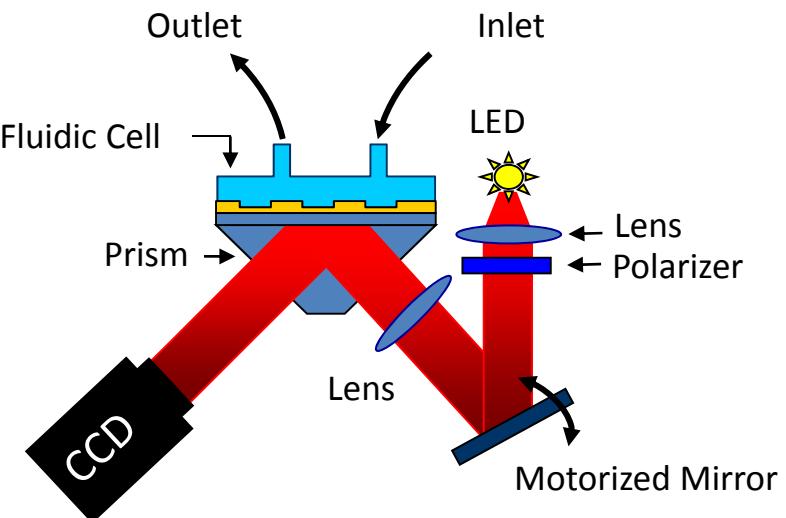
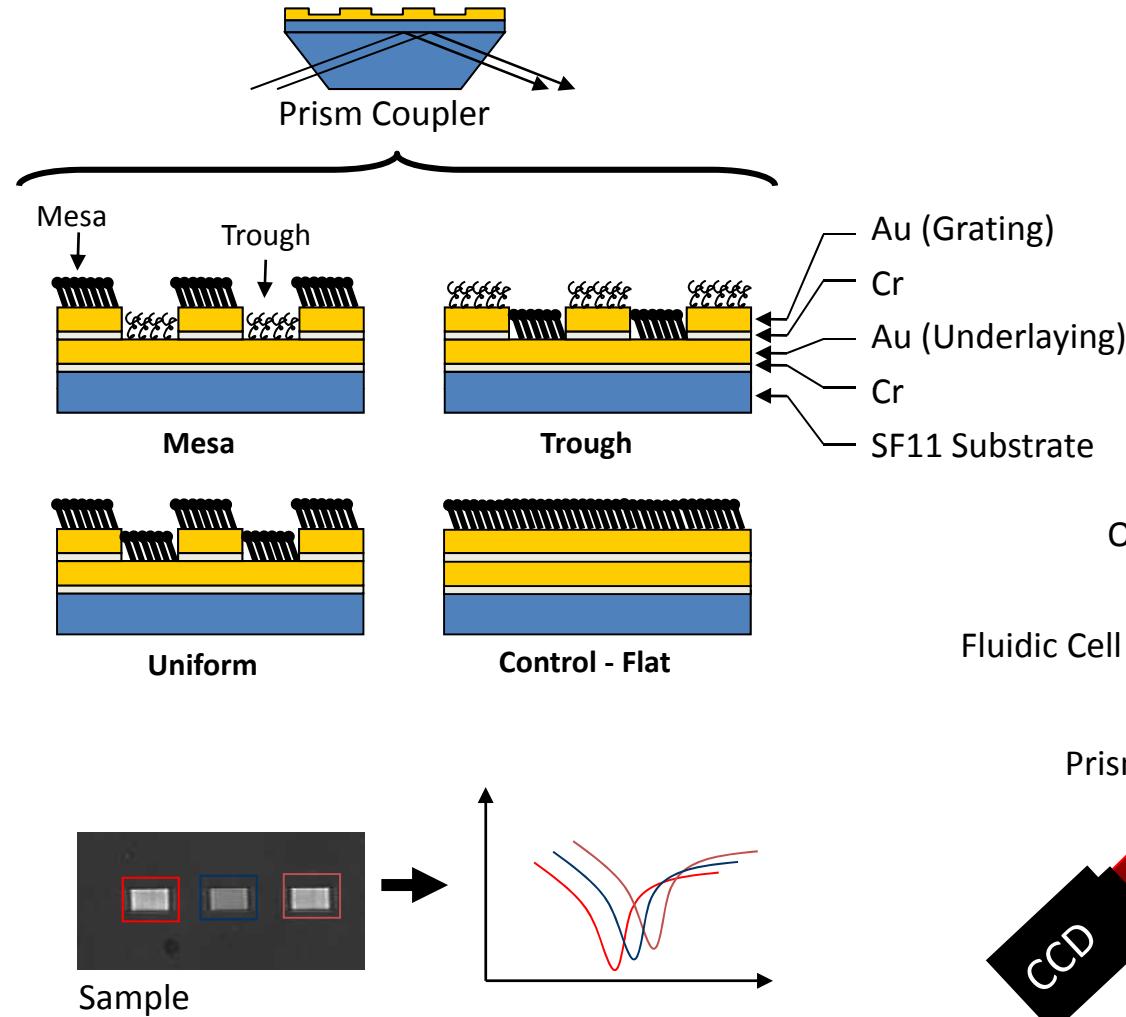
- 1mM of PEO in water
- Overnight incubation

- 1H in Acetone/MEK
- 1 min ultrasonication

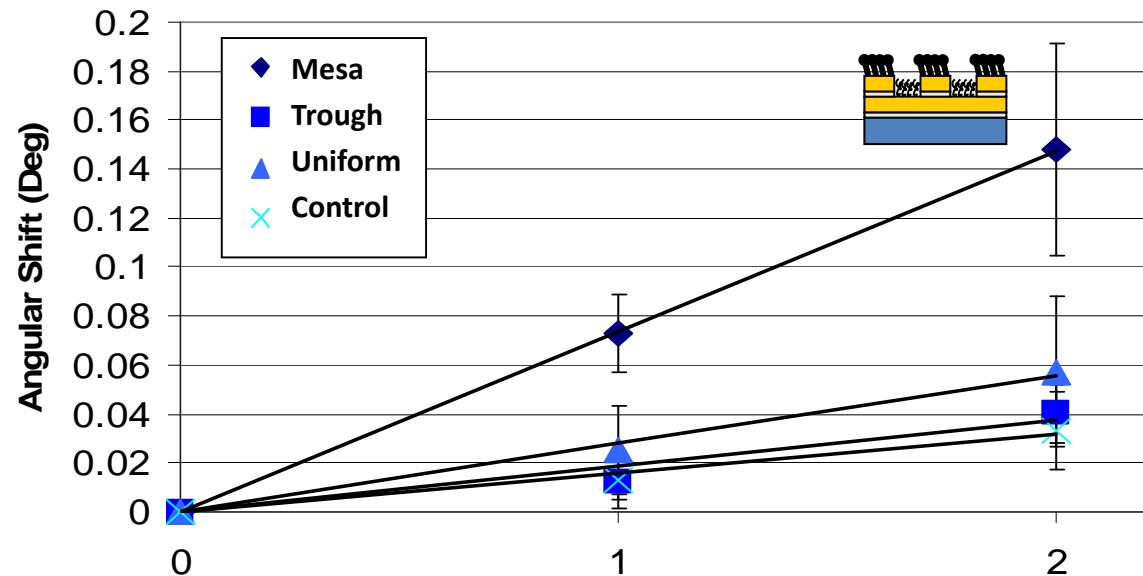
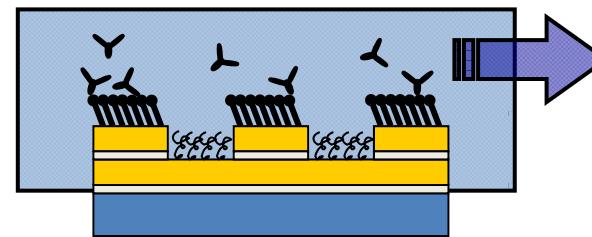
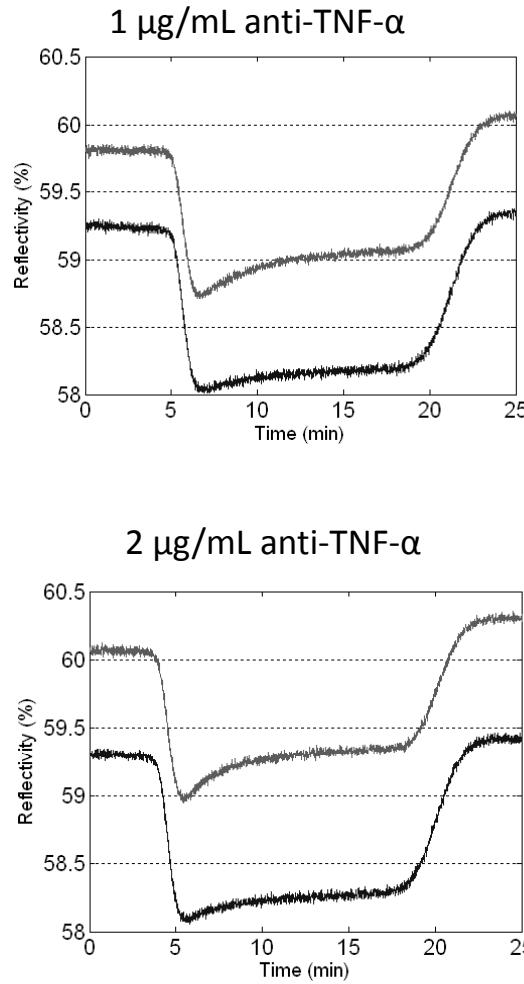
- 1mM of MCHA in ethanol
- 3H incubation time

X.D. Hoa, M. Tabrizian, A. G. Kirk, Enhanced SPR Response from Patterned Immobilization of Surface Bioreceptors on Nano-gratings, *J.Biosensors and Bioelectronics*, 24 (2009) 3043–3048, 2009.

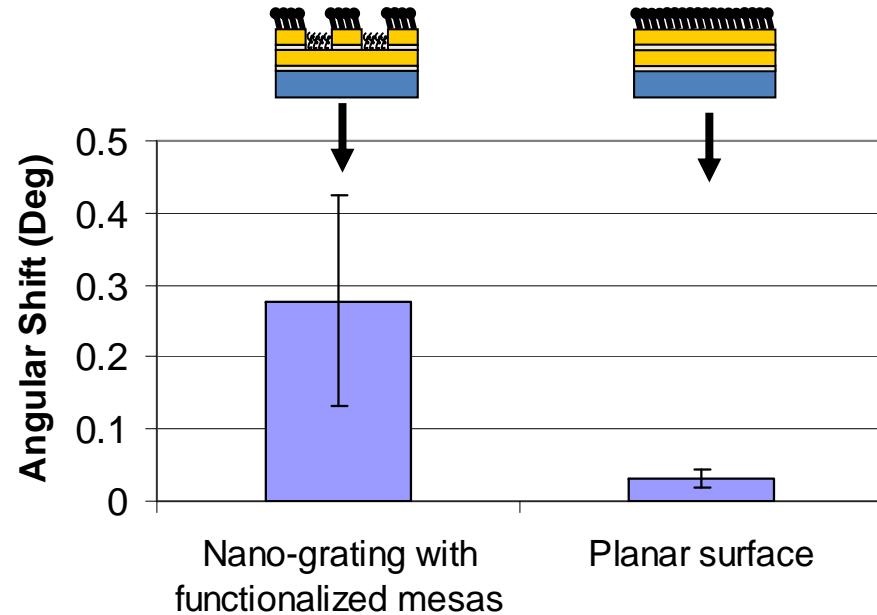
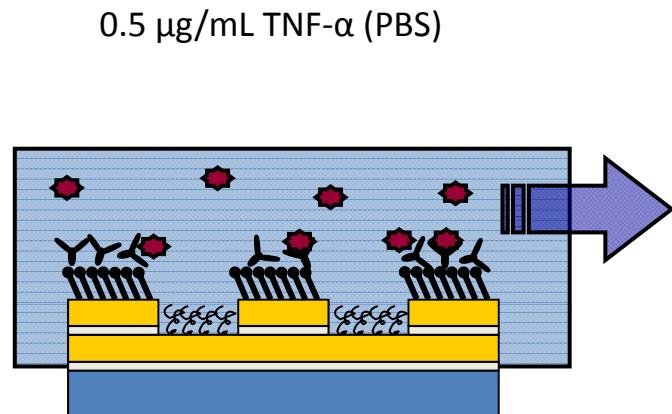
Characterization via SPR-Imaging



Injection of Anti-TNF- α



SPR-Imaging – Injection of TNF- α



- Mapped immobilization is advantageous
- Functionalized trough configuration shows weak response
- Significant improvement is measured in the angular sensitivity
- Increased accessibility of antigen to surface immobilized antibody

Integrated Nanophotonics Research Group

Current projects

Planar waveguide devices

- Etched grating demultiplexer
- Photonic crystal superprism
- Photonic crystal wavelength conversion
- Hybrid laser integration
- Fabry-Perot comb filter switch

Biosensors

- Integrated SPR
- Grating-enhanced SPR
- Spectro-angular SPR
- Plasmonic polymer
- Cavity ring down resonant sensing
- Nano-crystalline cellulose

2D vs. 1D SPR

Why use 2D SPR?

Possibility of using image analysis techniques.

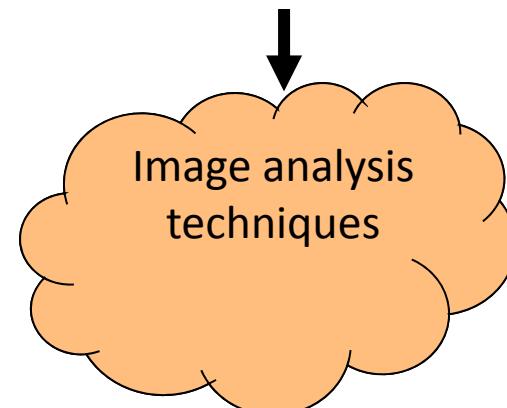
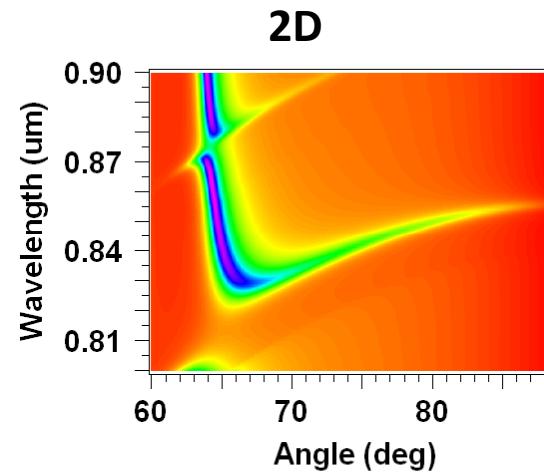
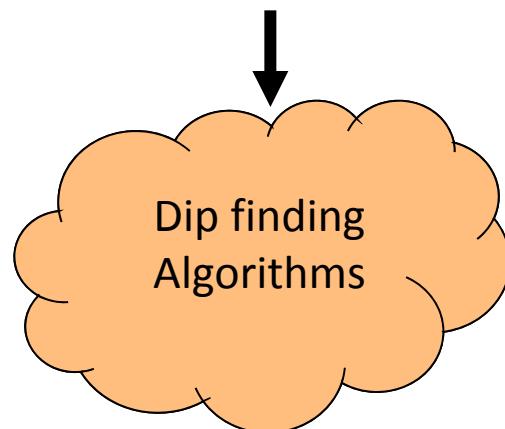
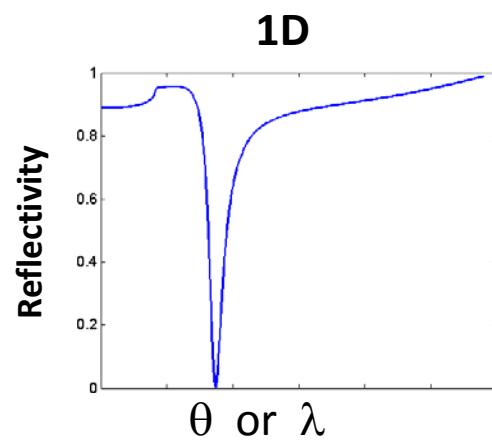


Image Analysis Technique

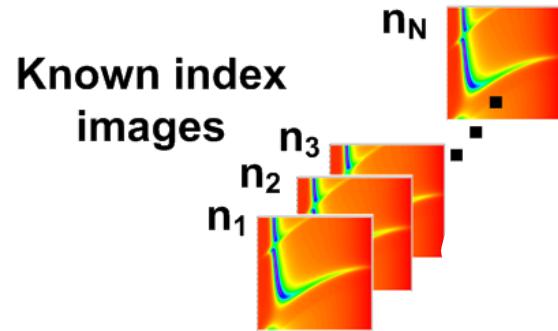
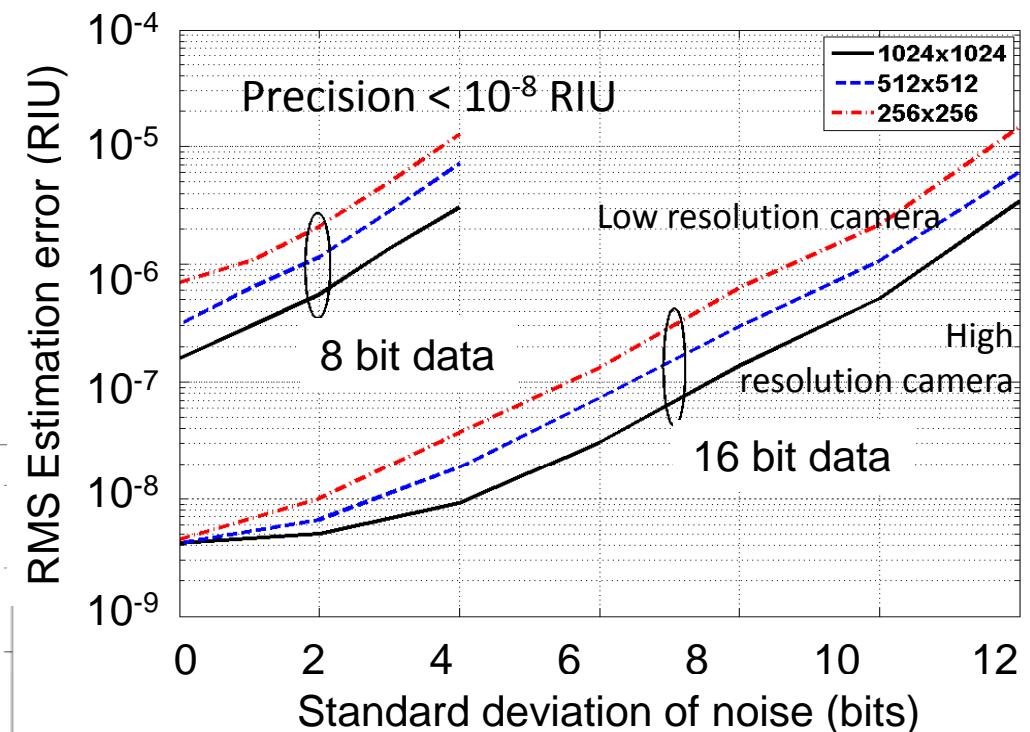
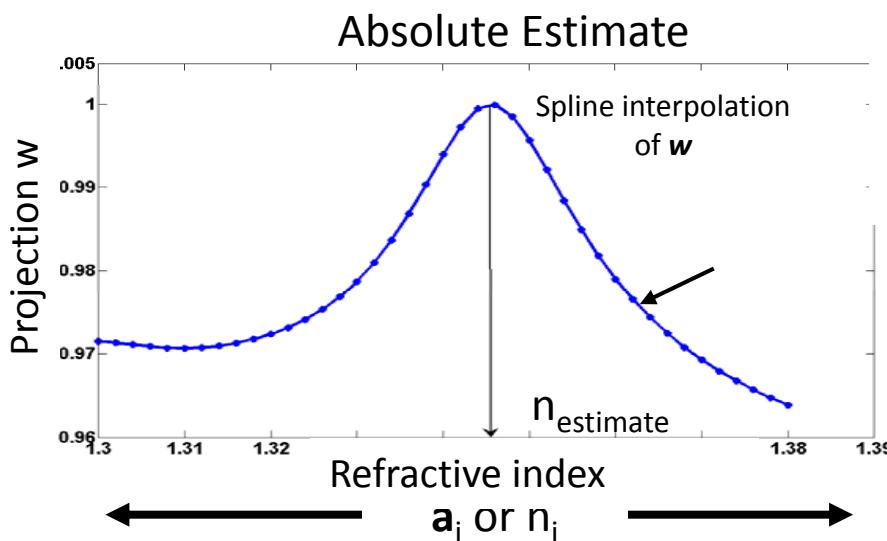


Image Analysis Technique

Associate the weights w_i with initial index n_i

$$\begin{bmatrix} w_1 \\ w_2 \\ \vdots \\ w_N \end{bmatrix} \Rightarrow \begin{bmatrix} \vec{a}_1 \\ \vec{a}_2 \\ \vdots \\ \vec{a}_N \end{bmatrix} \Rightarrow \begin{bmatrix} n_1 \\ n_2 \\ \vdots \\ n_N \end{bmatrix}$$

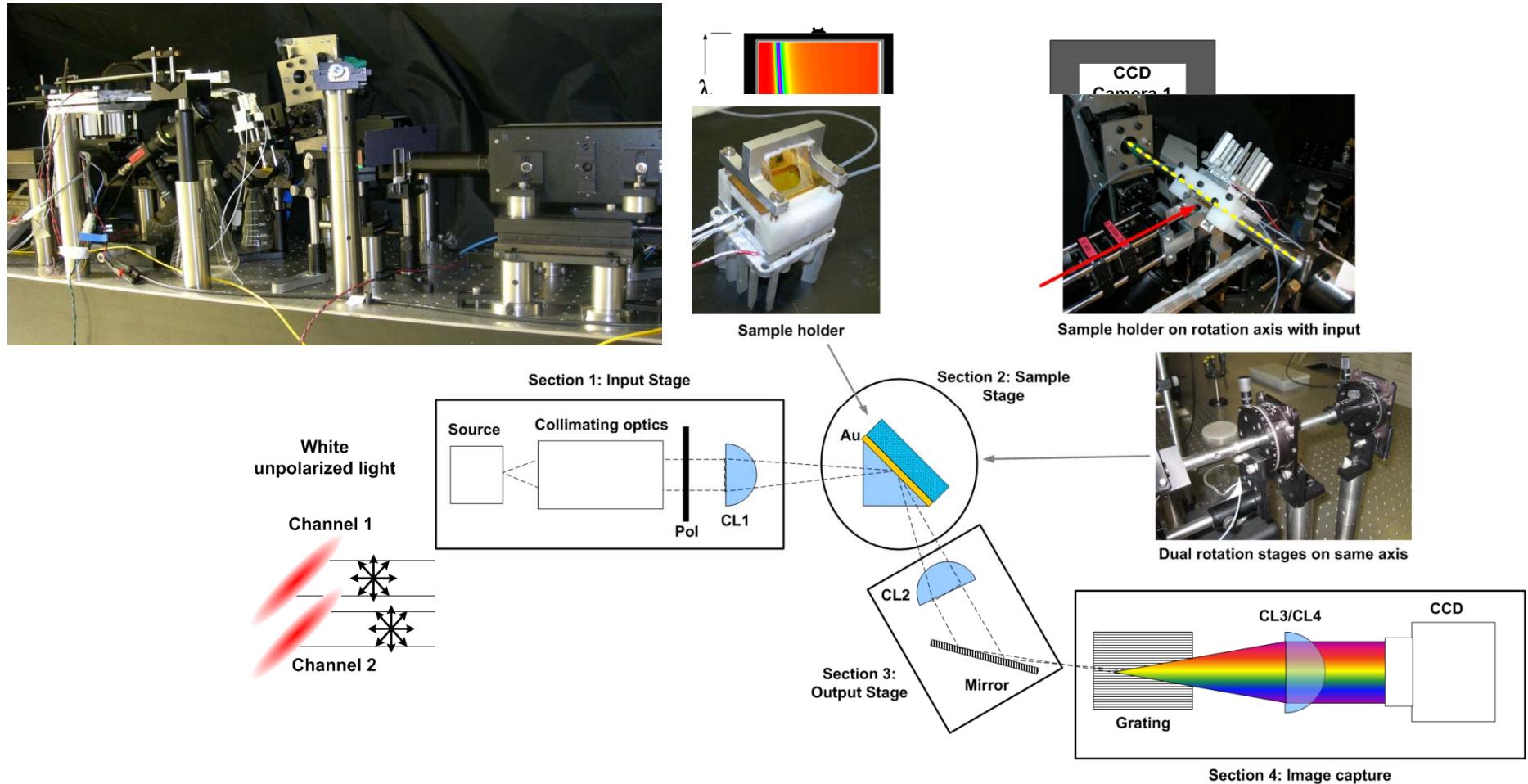


C.J. Alleyne, A. G. Kirk, P.G. Charette, 'Numerical method for high accuracy index of refraction estimation from surface plasmon photonic bandgap structures.', *OSA Optics Express* **16** (24) pp 493-503, 2008

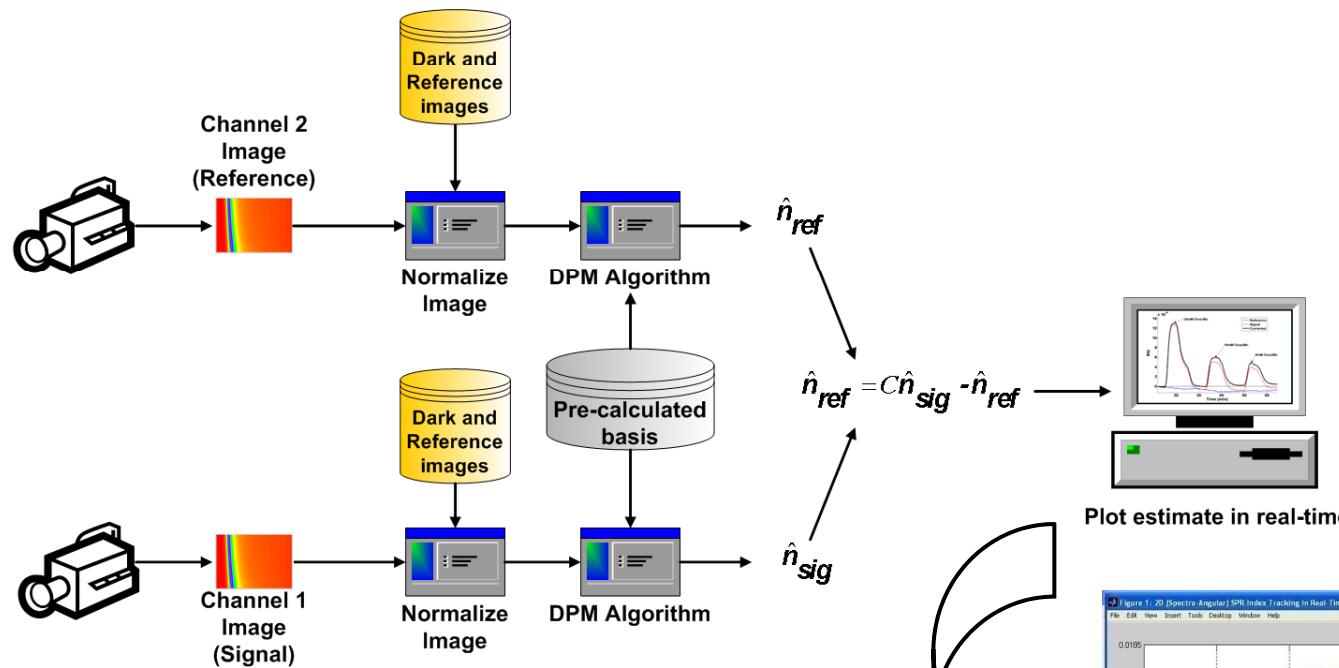
Experimental Implementation

Dual channel spectro-angular configuration for measuring SPR in 2D.

- The second channel is used as a reference for drift elimination



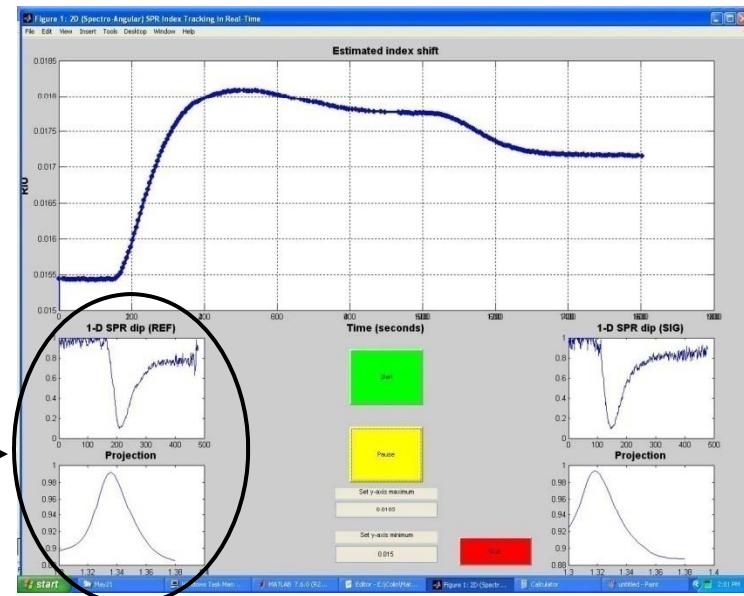
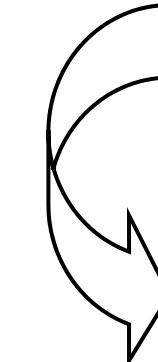
Real-Time Data Analysis



- Real-time output of index estimate (15 frames/sec, 2-4 seconds/sample)
- Monitoring of dip quality in both channels.
- Monitoring of DPM projection curve in both channels.

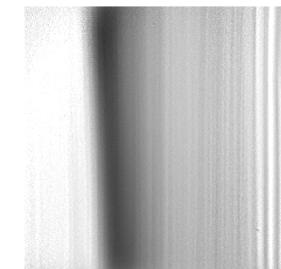
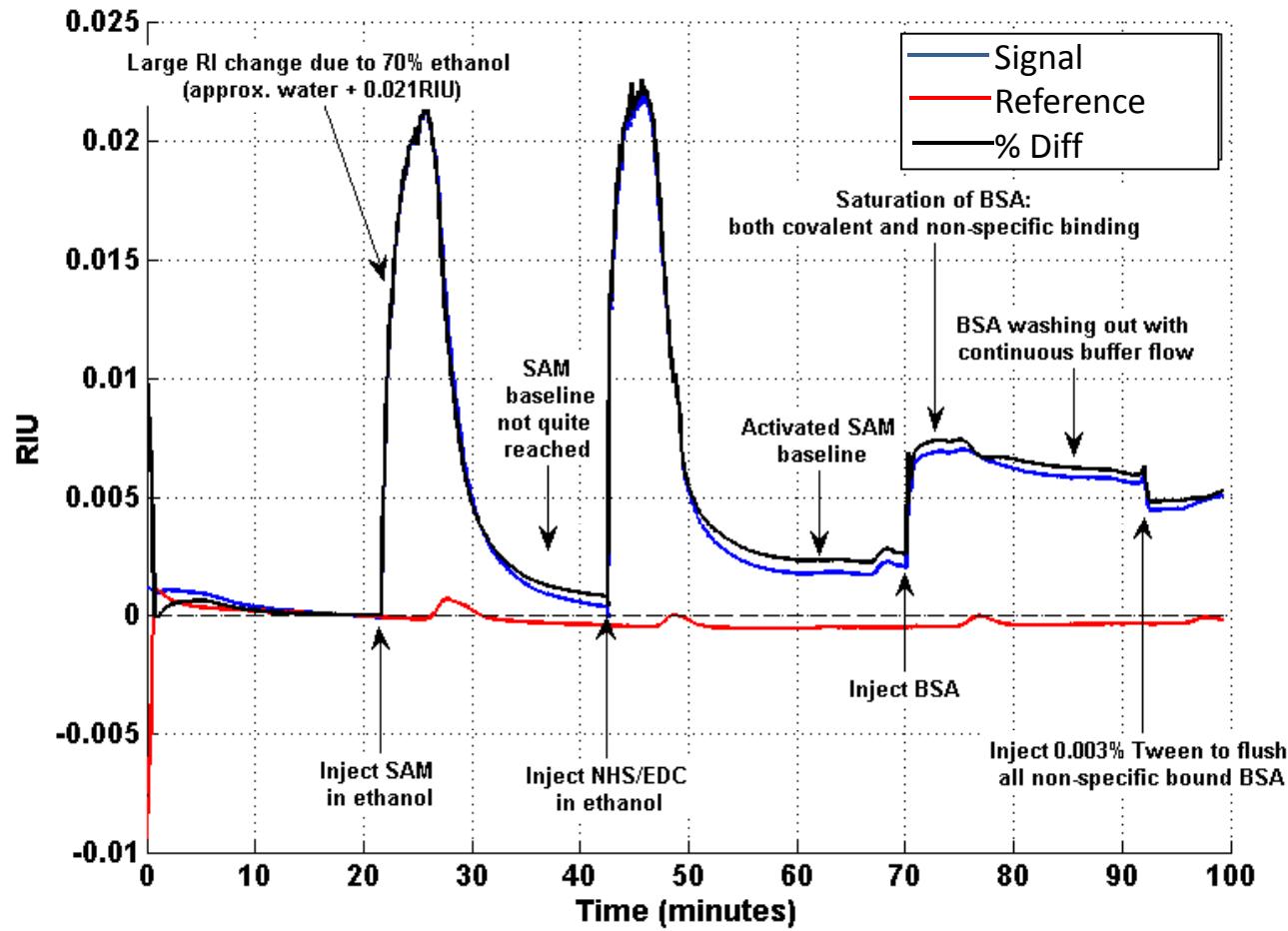
Channel 1

- SPR dip (top)
- DPM projection, s (bottom)



Spectro-Angular experimental results

Monitoring SAM deposition and BSA binding



2D image

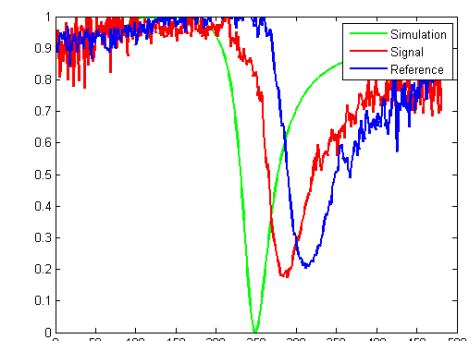
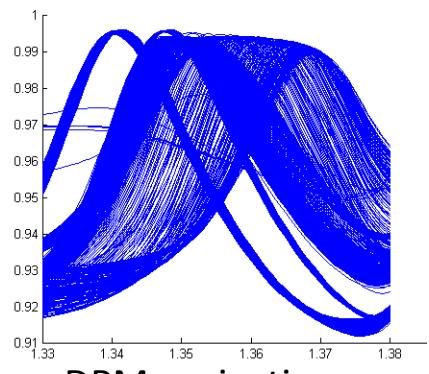


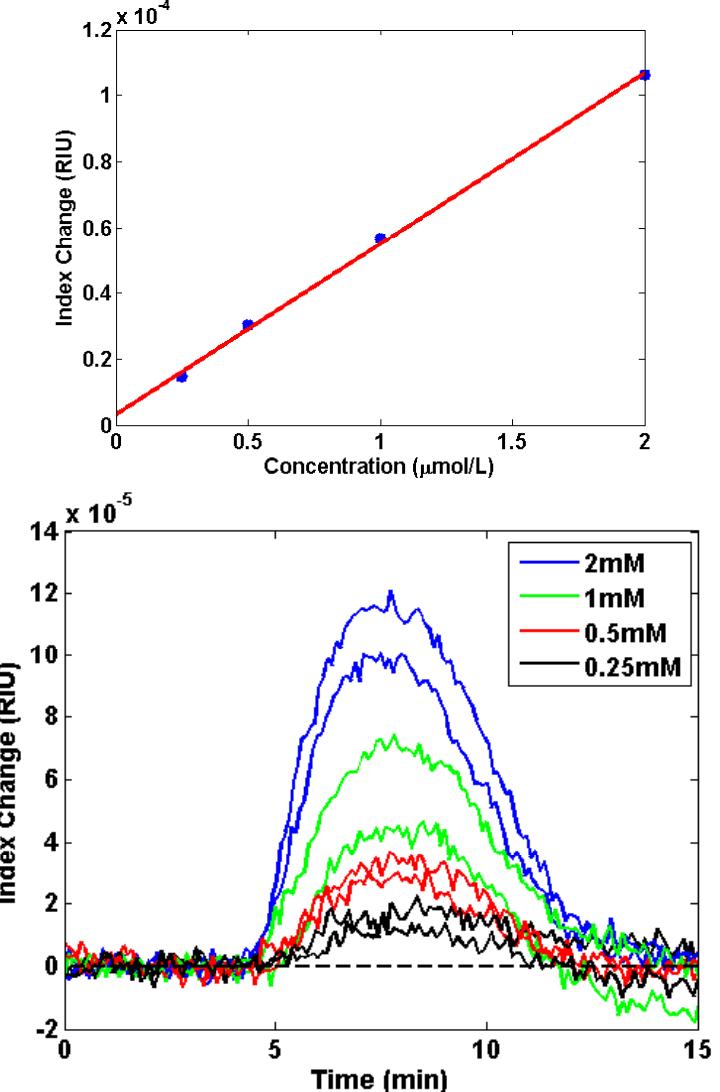
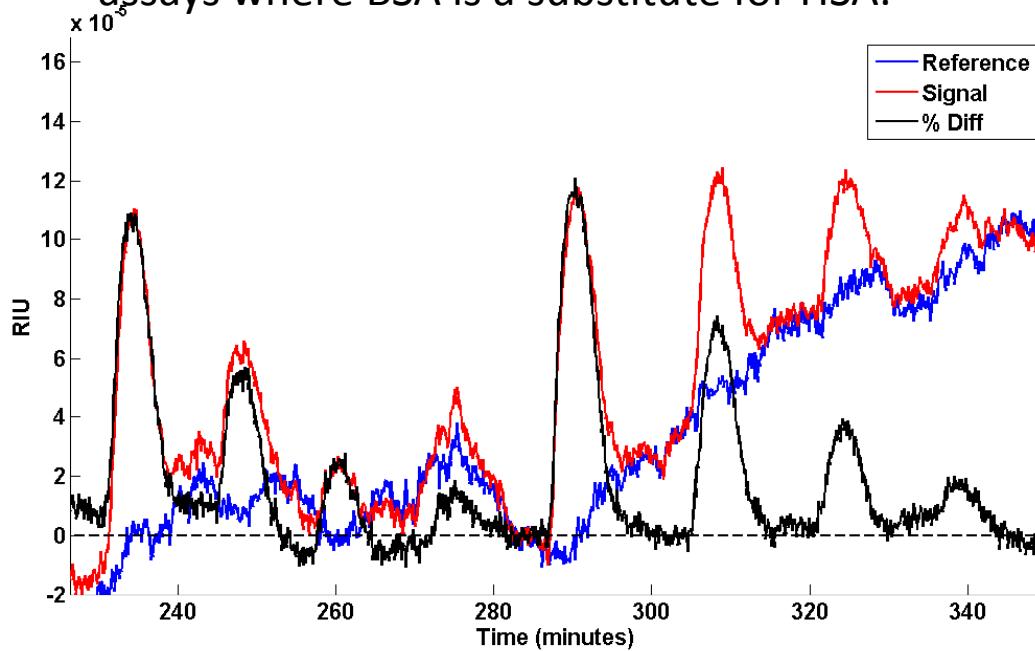
Image slice



DPM projections

Spectro-Angular as Biosensing Platform

- Oxacillin (mw 441) injections were introduced to flowcell containing BSA:SPR chip
- RIU shift relative to quantity of drug bound
- Illustrates instrument's utility for drug binding assays where BSA is a substitute for HSA.

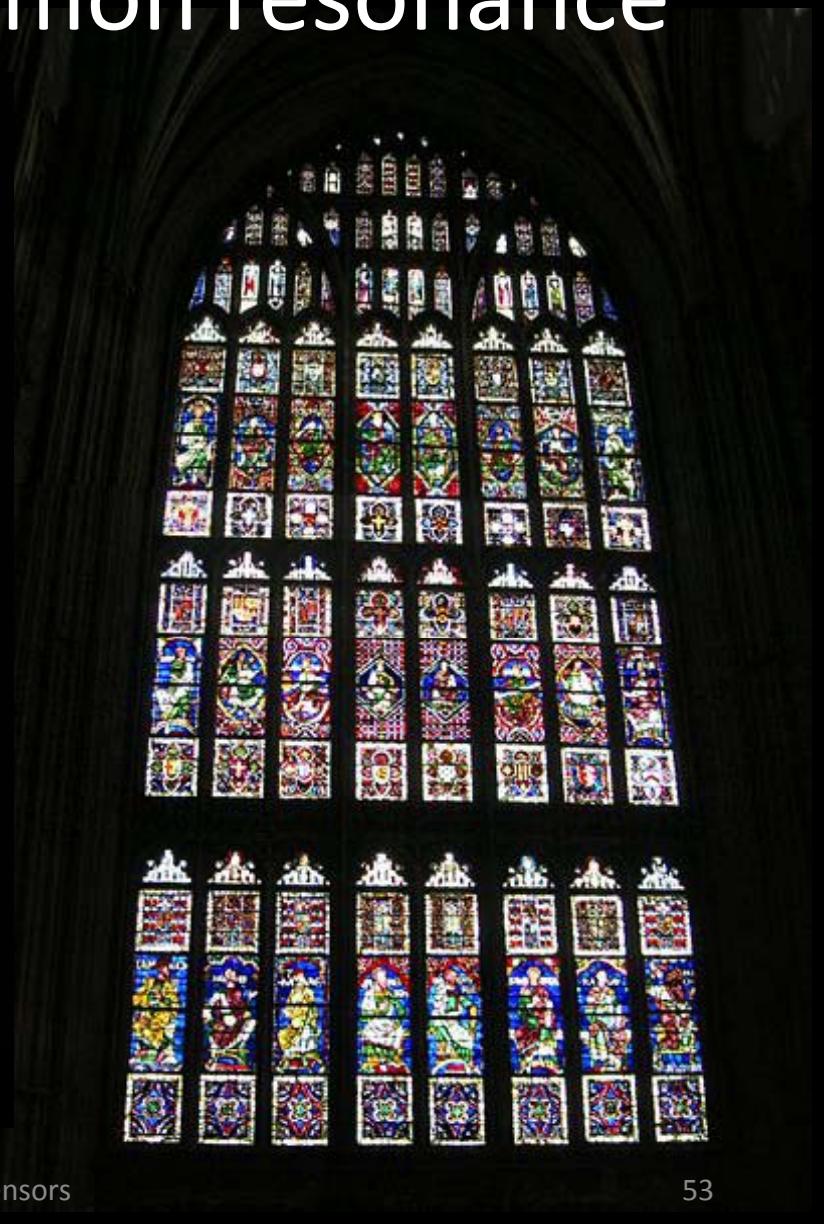


C.Alleyne, P.Roche, A.G.Kirk, 'Spectro-angular surface plasmon biosensor applied to drug binding assays', *Proc. IEEE-Photonics Society Annual Meeting*, WR3, Antalya, Turkey, 2009

Localised surface plasmon resonance

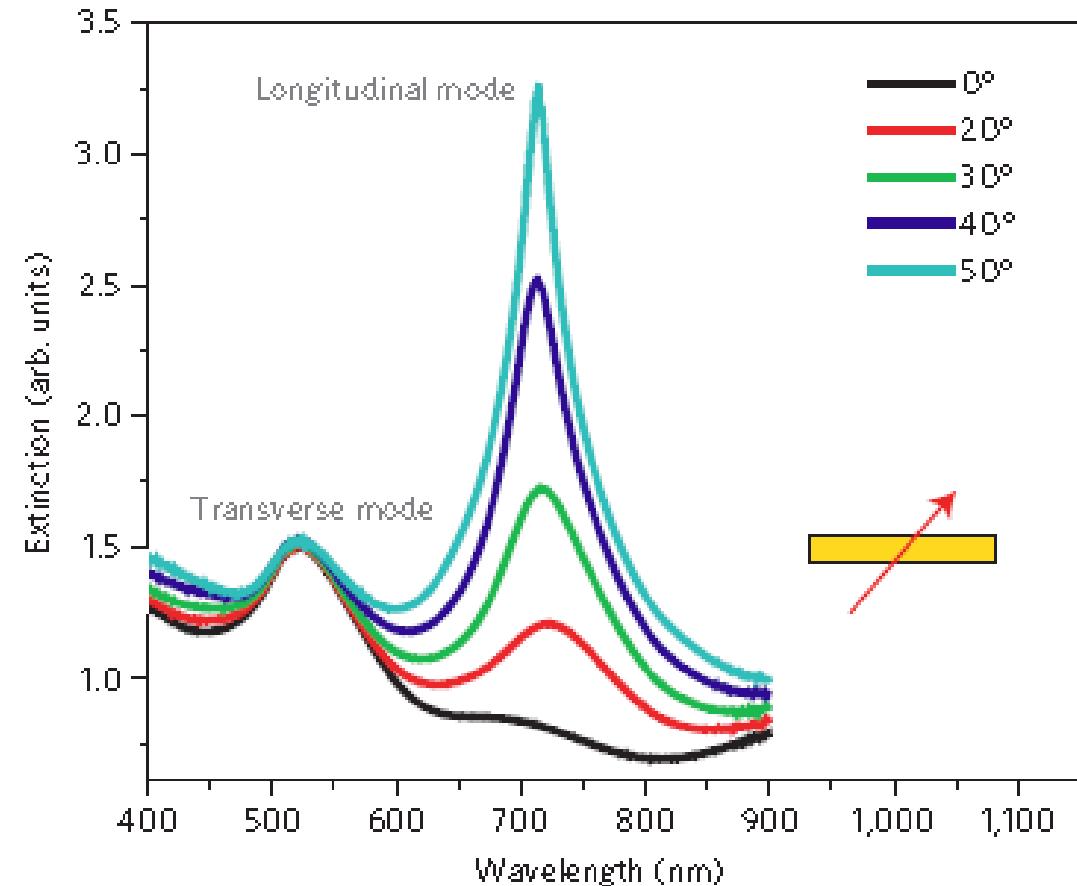
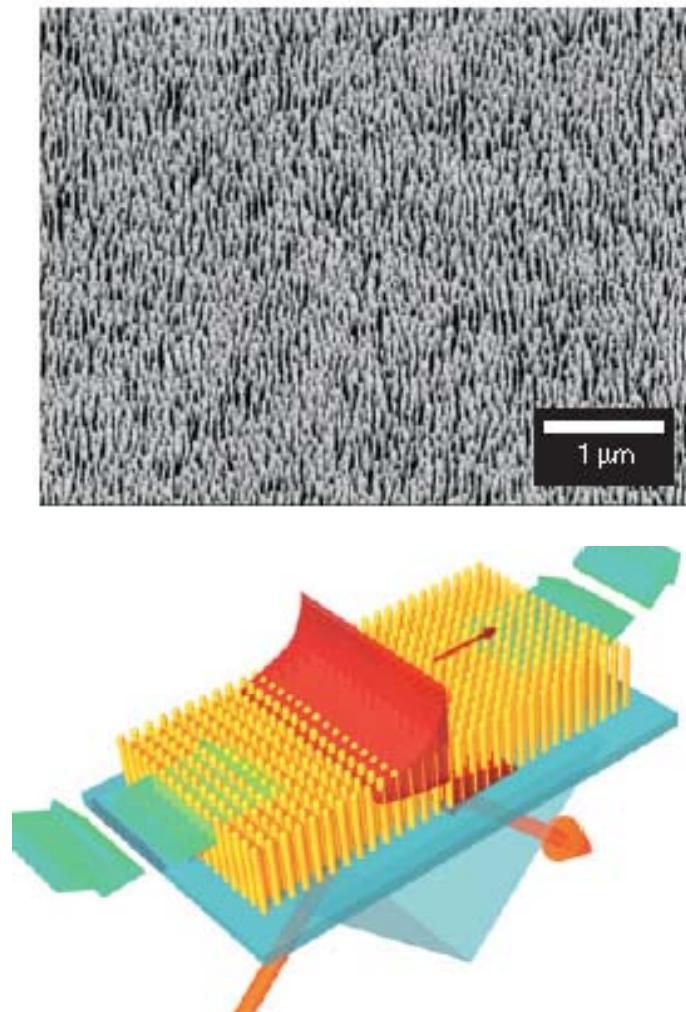


Lycurgus cup (British museum)

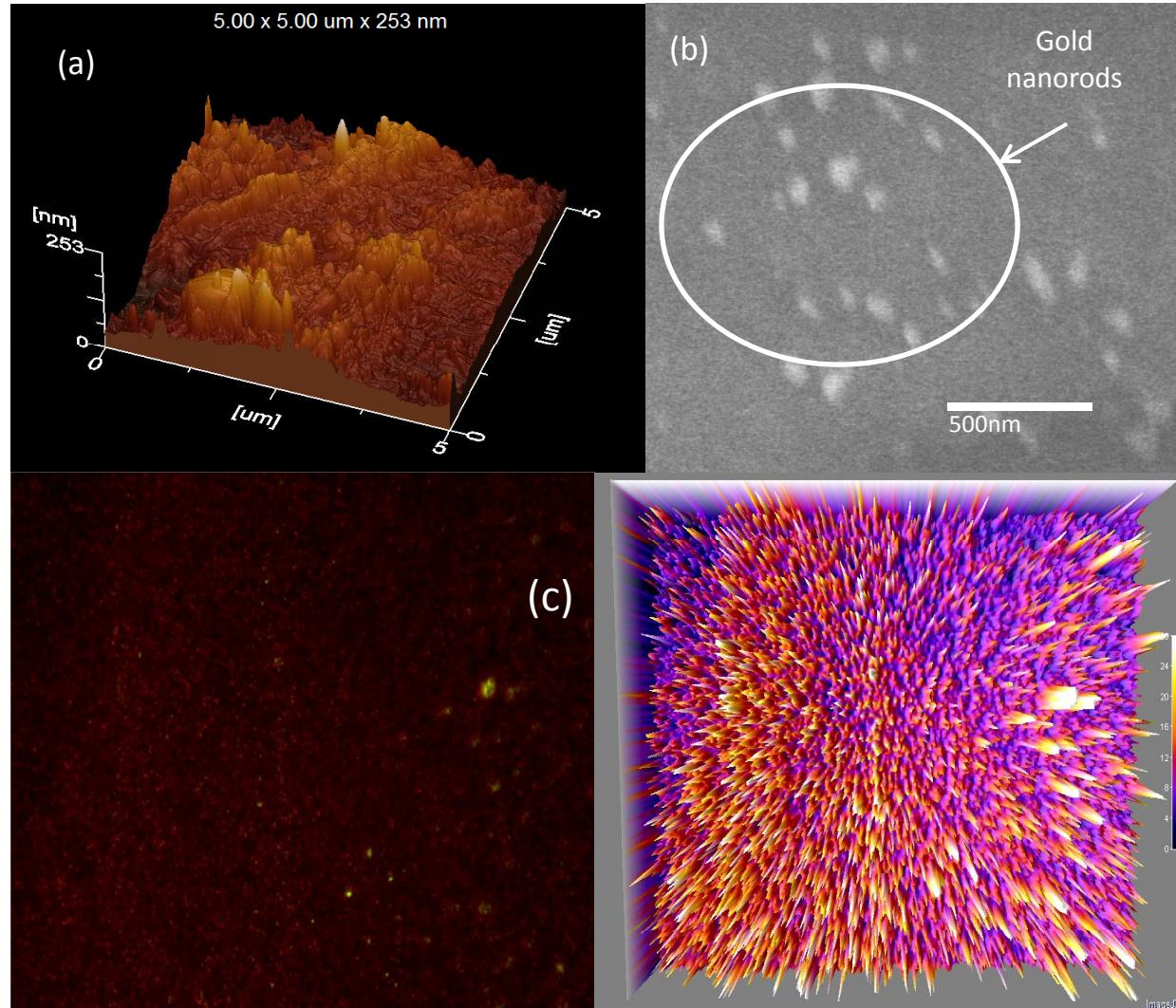


Collective resonance of nanorods

Nanofabricated gold nanorods (500 nm x 50 nm)



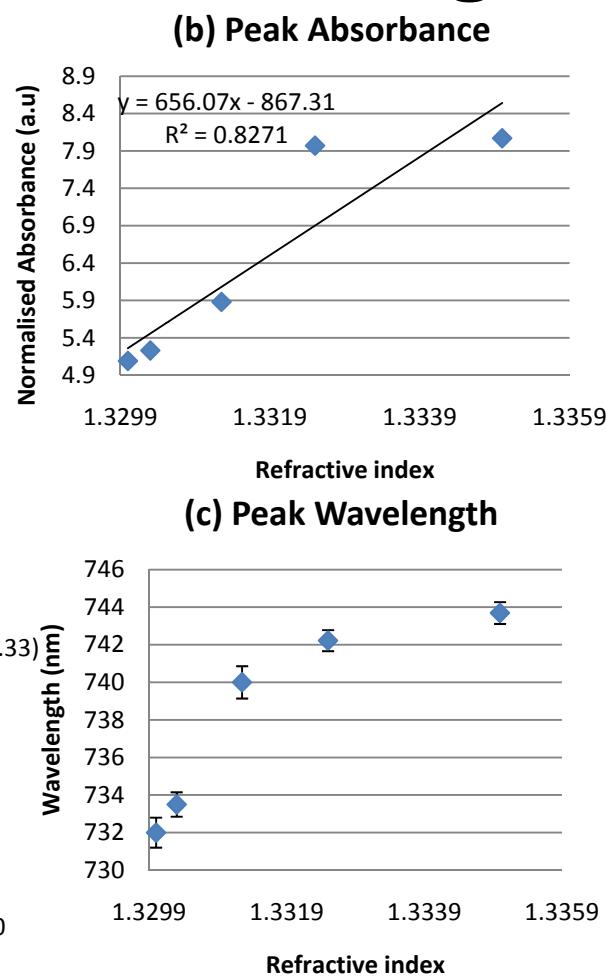
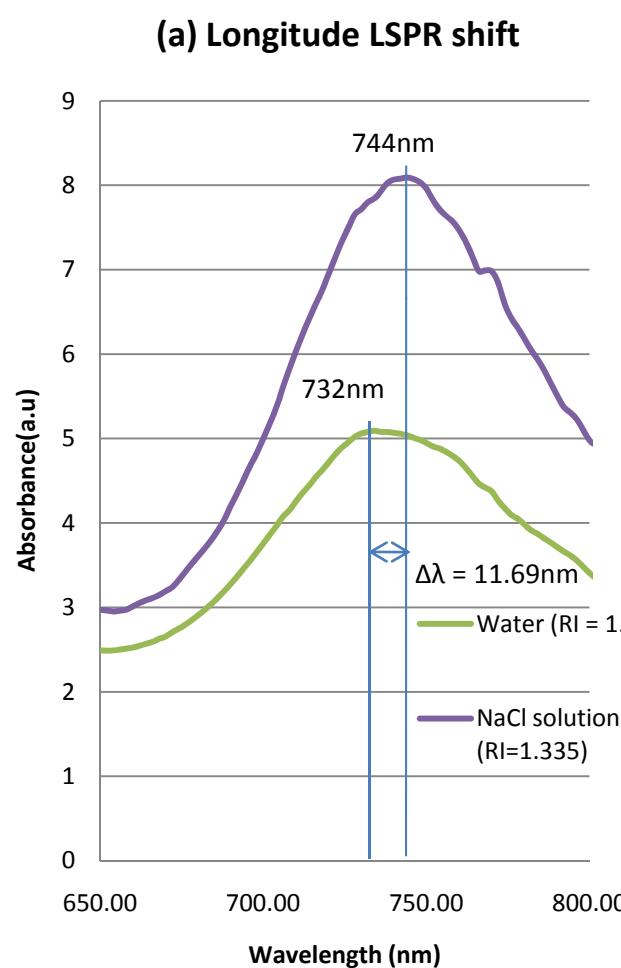
Nanorods in sol-gel



'Plasmonic sol-gel':
Au Nanorods bound into
porous polymer matrix

P.Roche and A.Kirk,
unpublished work

Plasmonic polymer: Sensitivity to index change



P.Roche and A.Kirk,
unpublished work

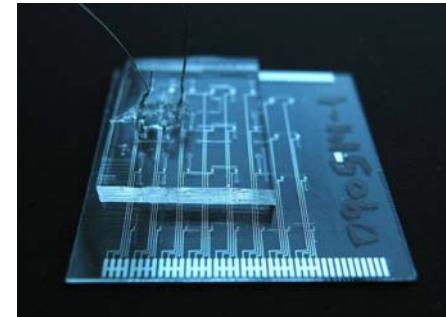
Summary

- Applications of slab mode propagation in waveguides:
 - Distributed etched grating demultiplexer
 - Integrated comb filter switch
- Surface plasmon resonance sensors
 - Integrated systems
 - Applications of nanostructures and patterned chemistry
 - Spectro-angular (2-D) system

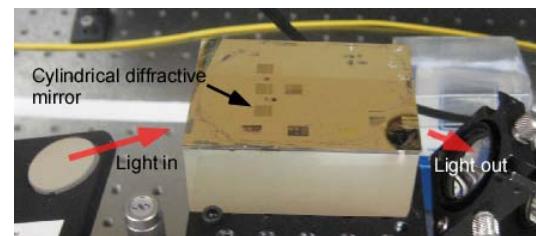
Training program in Integrated Sensor Systems

McGill, Ecole Polytechnique, Sherbrooke, INRS

- Multidisciplinary training program focusing on the design, fabrication, integration and packaging of sensors
- 104 graduate and undergraduate students to be trained over 6 years
- Extensive hands-on training in design, fabrication and characterization
- International exchange and industrial internships form a key part of the program
- First graduate trainees will commence in September 2010
- Director: Andrew Kirk



Microfluidics



Optical sensors